The effect of NMDA-R antagonism on simultaneously acquired local field potentials and tissue oxygen levels in the brains of freely-moving rats

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Abstract
Non-competitive NMDA receptor antagonists are known to induce psychosis-like symptoms in rodents. Administration of such compounds cause behavioural effects such as memory impairment and hyper-locomotion. Additionally, drugs such as phencyclidine (PCP), ketamine and MK-801 all cause distinctive increases in striatal local field potential (LFP) in the high frequency oscillation (HFO) band in the power spectrum (140–180 Hz). Amperometric sensors provide a means to measure tissue oxygen (tO2; a BOLD-like signal) in the brains of freely-moving rats while simultaneously acquiring LFP using the same electrode. Carbon paste electrodes were implanted into the striatum and hippocampus of male Wistar rats. Rats were administered with saline, ketamine (10 mg/kg), MK-801 (0.1 mg/kg) and PCP (2.5 mg/kg) and recordings were made at 1 kHz using three different potentials (−650 mV to measure tO2; 0 mV and +700 mV as control conditions). NMDA receptor antagonism caused significant increases in tO2 in both the striatum and the hippocampus. Power spectrum analysis showed significant increases in HFO power in the striatum but not in the hippocampus. Conversely, there were significant decreases in delta and alpha power along with increases in theta and gamma power in the hippocampus that were absent in the striatum. This supports findings that LFP can be obtained from an amperometric sensor signal; allowing simultaneous acquisition of two translational biomarkers of neuronal activity (LFP and tO2).

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1. Introduction

The N-methyl-D-aspartate glutamate receptor (NMDA-R) is firmly implicated in normal physiological processes such as synaptic plasticity and learning along with being implicated in diseased and disordered states such as excitotoxicity and schizophrenia. Indeed, non-competitive NMDA-R antagonists are routinely used to model schizophrenia in rodents (Neill et al., 2014; Janhunen et al., 2015). Additionally, given the use of non-competitive NMDA-R antagonists in humans as medical interventions (ketamine) and as drugs of abuse (phencyclidine; PCP), it is important to understand the neurophysiological effects of these drugs to find new clinical uses for existing drugs like ketamine and to design novel antipsychotic and antidepressant compounds with fewer side effects and greater therapeutic value.

In rodents, it has been demonstrated that non-competitive NMDA-R antagonists can induce characteristic changes in electrophysiological measurements in various brain regions. The three classic non-competitive antagonists, ketamine, PCP and MK-801, all alter multiple frequencies of the local field potential (LFP) signal in multiple regions in a dose-dependent manner (for a comprehensive review, see Hunt and Kasicki, 2013). Notably, these drugs are associated with increases in striatal high-frequency oscillations (HFOs; Hunt et al., 2006, 2011) and in hippocampal gamma power (Ma and Leung, 2000; Ehrlichman et al., 2009; Lazarewicz et al., 2010; Hunt et al., 2011; Saunders et al., 2012).

Attempts at using functional magnetic resonance imaging (fMRI) to directly compare changes in brain activity between animals and humans have been made but are limited by the need to anaesthetise or sedate animals, thus introducing a confounding factor when interpreting data (Hodkinson et al., 2012). Even so,
pharmacological MRI studies in rodents show that non-competitive NMDA-R antagonists are associated with increased regional cerebral blood volume in the striatum (Gozzi et al., 2008a, 2008b; Broberg et al., 2013) and hippocampus (Gozzi et al., 2008a, 2008b) as well as increases in the blood-oxygen-level-dependent (BOLD) signal in the striatum and hippocampus (Littlewood et al., 2006; Chin et al., 2011).

Therefore, it is desirable to develop techniques that offer greater translational validity to make better-informed interpretations of data generated in animal models. Amperometry is of particular interest as it is possible to measure changes that are comparable to imaging techniques routinely used in humans (BOLD and EEG; see Lowry et al., 2010; Zhang et al., 2009) and can be used in freely-moving animals. With electrochemical sensors, it is possible to measure real-time changes in tissue oxygen (tO2) in the brain with high spatial resolution (Bolger and Lowry, 2005; Bolger et al., 2011a, 2011b) and this tO2 signal correlates highly with changes in the BOLD signal acquired simultaneously (Lowry et al., 2010). This permits researchers to utilise tO2 measurements as a surrogate for fMRI in rodents, allowing for pharmacological (Kealy et al., 2013, 2015) and behavioural (McHugh et al., 2011) studies to be performed in awake rodents.

Furthermore, it has been demonstrated by recording LFP while simultaneously measuring changes in choline using an amperometric sensor that the amperometric signal is made up of a low frequency component (≤ 1 Hz) corresponding to neurochemical changes and a higher frequency component (> 1 Hz) corresponding to LFP (Zhang et al., 2009). The aim of this study is to confirm that the signal obtained from oxygen sensors can also be broken down into a low frequency component corresponding directly to changes in oxygen and a higher frequency component that corresponds to changes in LFP. In order to test this hypothesis, we use ketamine, PCP, and MK-801 to pharmacologically determine whether the > 1 Hz components of the tO2 signal are in fact changes in LFP. As these drugs all induce known changes in the LFP (Hunt and Kasicki, 2013), we can assume that if the higher frequency components of the tO2 signal are in fact related to the LFP, they will change in a similar manner. Additionally, baseline activity and the effects of changing oxygen levels in vivo along with control experiments in vitro will be examined in a similar manner to help determine the relationship between tO2 and LFP as translational markers of neuronal activity akin to local and scalp electrophysiological recordings made in humans. Taken together, these findings will demonstrate how amperometry can be used to measure changes in tO2 and LFP simultaneously, allowing for detailed translational assessments of neuropharmacological and behavioural interventions to be performed.

2. Materials and methods

2.1. Subjects

Male Wistar rats (250–300 g; Charles River Laboratories International, Inc.; U.K.) were housed in a temperature-controlled facility with a 12-h light/dark cycle (lights on at 08:00) with access ad libitum to food and water. All procedures were performed under license in accordance with the European Commission Directive 2010/63/EU and were approved by the Maynooth University Ethics Committee.

2.2. Data acquisition and statistical analysis

All electrochemical experiments were performed using a low noise potentiostat (Quadstat, eDAQ, Australia). Data acquisition was performed at 1 kHz using an eCorder® interface system and eDAQ Chart software (eDAQ, Australia). Electrophysiological recordings were made using a Micro1401 mk II data acquisition unit (Cambridge Electronic Design, U.K.) and Spike2 software (CED, U.K.). Electrophysiological recordings were made at 10 kHz and the signal was amplified and filtered between 0.1 Hz and 1 kHz using a DAM50 amplifier (WPI, U.S.A.). Data was preliminarily processed in Microsoft® Excel® 2010 before being exported to GraphPad Prism® 5.01 for statistical analysis. Data was either normalised to baseline levels or area under the curve (AUC) analysis was performed to quantify any observed changes in the sensor signals over time for statistical analysis. Fast Fourier transforms (FFTs) were performed on 1-min time bins in eDAQ Chart and resulting power spectra were split into five frequency bands (delta, 1–4 Hz; theta, 5–8 Hz; alpha, 9–12 Hz; gamma, 30–100 Hz; HFO, 140–180 Hz). For multiple comparisons, repeated-measures and mixed-factorial analysis of variance tests (ANOVA) with Bonferroni post-hoc analysis were used as appropriate. p < 0.05 was considered to be significant.

2.3. Working electrode preparation and surgery

Carbon paste electrodes (CPEs; O’Neill et al., 1982) were prepared and in vitro calibrations were performed using constant potential amperometry in a standard three-electrode glass electrochemical cell containing 20 ml PBS at room temperature using a saturated calomel electrode (SCE) as the reference electrode and a bare platinum wire as the auxiliary electrode (see Lowry et al., 1997; Kealy et al., 2013, 2015). For CPE O2 calibrations, a three-point calibration protocol was used (0, 240, 1200 μM) using an applied potential of −650 mV versus SCE. The current was recorded throughout the course of each calibration and analysis was performed using quiescent steady-state conditions (Kealy et al., 2013, 2015).

Animals were anaesthetised using isoflurane (4% for induction, 1.5–3.0% for maintenance; IsoFlo®, Abbott, U.K.) and placed in a stereotaxic frame. The skull was exposed and four screws were implanted into the skull with one doubling as the auxiliary electrode. Burr holes were made for the other electrodes. Two CPEs were implanted into each hemisphere, targeting the striatum (+1.7 mm A/P; ±2.5 mm M/L; −5.0 mm D/V) and dorsal hippocampus (−4.0 mm A/P; ±3.0 mm M/L; −2.5 mm D/V). The silver reference electrode was implanted into the left parietal lobe. All electrodes were cemented into place (Dentalon® Plus, Heraeus-Kulzer, Germany) and the gold contacts at the end of each electrode were cemented into a six-pin Teflon® socket (Plastics One, U.S.A.). All animals were given saline (0.9%; 3 ml/kg body weight) and perioperative analgesia was provided (0.3 mg/kg body weight;
Buprecare®, AnimalCare Ltd., U.K.) before animals were allowed to recover in an incubator.

2.4. Recording procedure

Following surgery, animals were housed in Ratum® sampling cage systems (BASI, U.S.A.). All experiments were performed in the animal’s home bowl following five days of recovery. The sensors from each animal were connected to the potentiostat via the six-pin Teflon® socket using a flexible screened six-core cable (Plastics One, U.S.A.). Cabling was mounted on the Ratum® bowl to allow free movement of the animal. Movement was recorded in eDAQ Chart using an infrared motion detector.

Baseline recordings of tO2 (applied voltage: −650 mV) were made daily in the striatum and hippocampus (Kealy et al., 2013). Animals were given intraperitoneal injections of saline (0.9%); MK-801 (0.1 mg/kg; Sigma, U.K.); phencyclidine hydrochloride (PCP; 2.5 mg/kg; Department of Chemistry, Maynooth University) and (S)-(+) -ketamine hydrochloride (10 mg/kg; Tocris, Bio-Technne, U.K.). One injection was given per day with a washout period of 48 h between treatments. Treatments were given in a pseudo-random order to minimise interaction between the active compounds. Baseline recordings were made for 1 h before injections followed by a 2 h recording period. All animals were disconnected at night and housed together to minimise stress from being singly housed.

Recordings were also made at 0 mV (where there should be minimal redox activity at the electrode surface; Bolger et al., 2011b) and at +700 mV (where ascorbic acid and other oxidisable species, but not oxygen, are detectable; Lowry et al., 1996). Finally, recordings were made using CPEs connected to a standard electrophysiological apparatus. CPEs implanted in the striatum of each animal were connected to the amplifier for the electrophysiological recording device via the same cabling and housing as above and changes in potential at the recording electrode were measured. For these recordings, only saline and (S)-(++)-ketamine hydrochloride injections were administered.

3. Results

3.1. Power spectral analysis of the amperometric oxygen signal

In order to determine whether the electrochemical reaction at the CPE active surface had any effect on frequency components >1 Hz, in vitro calibration data was analysed using FFT analysis at 0 μM; 240 μM and 1200 μM oxygen during quiescent periods in the oxygen calibration. There was an overall increase in power across all frequencies in the presence of oxygen compared to 0 μM but no differences between 240 μM and 1200 μM oxygen (Fig. 1A). This indicates that once oxygen is present (as is the case in the brain), the reduction of oxygen at the electrode surface should not interfere with frequency components above 1 Hz.

During baseline recording in vivo, movement was associated with increases in tO2 in both the striatum and the hippocampus (Fig. 1B). FFT analysis was performed on 2-min time bins of the oxygen signals recorded while animals were awake but at rest (n = 10) and while animals were engaged in exploratory movement (n = 10). In the striatum (Fig. 1C), there was a sharpening of the theta peak at 8 Hz, an appearance of another peak at around 14–20 Hz and a broadband increase in gamma power (not related to the spike at 50 Hz resulting from mains noise). Paired t-tests comparing stationary and moving conditions (Fig. 1D) showed that there was a significant decrease in striatal alpha (t = 3.464; df = 9; p < 0.01) and a significant increase in striatal gamma (t = 2.425; df = 9; p < 0.05). In the hippocampus (Fig. 1E), there were similar changes compared to striatum. There was again a sharpening of the theta peak but at a slightly lower frequency (7 Hz) along with the appearance of another peak at around 14–17 Hz though there were no changes in gamma power. Paired t-tests (Fig. 1F) showed that there was a significant decrease in hippocampal alpha power (t = 2.751; df = 9; p < 0.05).

To confirm that the changes observed in vivo are attributable to changes in LFP and not changes in tO2 the same movement experiments were repeated with applied potentials of 0 mV and −700 mV where changes in tO2 should have no effect on the recorded currents. As such, there were no discernible changes in current associated with movement in either the striatum or the hippocampus at 0 mV (Fig. 2A) or +700 mV (Fig. 2B). Power spectra at 0 mV (n = 4) showed similar changes associated with movement as observed at −650 mV in both the striatum (Fig. 2C) and hippocampus (Fig. 2E). Similarly, at −700 mV, power spectra (n = 6) in the striatum (Fig. 2D) and hippocampus (Fig. 2F) were similar to those observed at 0 and −650 mV.

3.2. Effect of non-competitive NMDA receptor antagonists on local field potential and tO2

Following a baseline period of 10 min, animals were given an intraperitoneal injection on different days with either saline (0.9%; vehicle control) or a non-competitive NMDA-R antagonist (ketamine; PCP; MK-801). Recordings were made from the striatum and hippocampus for 2 h post-injection and analysed post hoc in order to extract the LFP components of the signals. Briefly, the oxygen signals from each animal were divided into 1-min time bins and FFT analysis was performed on them. The resulting power spectra were divided into five frequency bands, averaged and normalised to the baseline period. Changes in LFP power were plotted against time to compare with changes in tO2. Following saline administration (n = 15; Fig. 3A), there was a transient increase in movement and in tO2 in both the striatum and hippocampus associated with injection stress. Changes in LFP were similarly transient with increases in gamma power and decreases in theta and alpha power in the striatum along with increases in theta, gamma and HFO power and a decrease in delta power in the hippocampus.

Ketamine administration (n = 15; Fig. 3B) induced hyperlocomotion lasting approximately 35 min with an accompanying increase in tO2 in both brain regions. These changes in tO2 were very similar but the changes in LFP showed regional differences with increases in gamma and HFO power and decreases in theta and alpha power in the striatum. Whereas in the hippocampus, there was an increase in gamma power and decreases in delta and alpha power associated with ketamine treatment.

MK-801 administration (n = 8 for striatum, n = 9 for hippocampus; Fig. 3C) induced hyperlocomotion and an increase in tO2 in both the striatum and the hippocampus lasting at least 120 min. In the striatum, there was a very large increase in HFO power lasting at least 120 min along with more moderate increases in delta and gamma power and decreases in theta and alpha power. In the hippocampus, there were increases in theta, gamma and HFO power along with a decrease in delta power. Alpha power showed a phasic response with an initial decrease (0–15 min) followed by an increase (15–40 min) before dipping below baseline again (70–110 min).

PCP administration (n = 6 for striatum, n = 7 for hippocampus; Fig. 3D) induced hyperlocomotion lasting at least 120 min. However, unlike ketamine or MK-801, tO2 levels in both regions returned to baseline after approximately 45 min (i.e. before hyperlocomotion wore off). In the striatum, there was a large increase in HFO power and moderate increases in gamma power whereas theta and alpha power both decreased in power together.
In contrast to MK-801, there was a decrease in striatal delta. In the hippocampus, there was an increase in gamma power lasting at least 120 min though unlike MK-801, both theta and HFO power remained at baseline levels throughout the recording period. Delta and alpha power both showed a phasic response to PCP treatment with an initial decrease (0–25 min) followed by an increase (40–60 min) before dipping below baseline again (80–120 min).

In order to compare the effect of each drug treatment to each other, AUC analysis was performed on baseline-corrected data from the 30-min period immediately following injection and two-way ANOVA was used to make comparisons between the effects seen due to drug treatment and brain region on tO2 and LFP data. For tO2 recordings (Fig. 4A), there was a significant effect for drug treatment ($F = 10.70; df = 3, 69; p < 0.001$) but there was no effect for brain region ($F = 0.6383; df = 1, 69; p > 0.05$) and there was no interaction effect ($F = 1.489; df = 3, 69; p > 0.05$).

Bonferroni post
Changes in frequency band powers shown without SEM for clarity. Following a baseline period of 10 min, animals were given an intraperitoneal injection containing either saline (0.9%; vehicle control) or a non-competitive NMDA-R antagonist (ketamine; PCP; MK-801). Following saline administration (n = 15; A), there was a transient increase in movement along with an increase in tO2 levels in both the striatum and hippocampus accompanied by changes in theta, alpha and gamma power in the striatum and in delta, theta, gamma and HFO power in the hippocampus. Ketamine administration (n = 15; B) induced hyperlocomotion with an accompanying increase in tO2 in both brain regions. Changes in LFP showed regional differences with increases in gamma and HFO power and decreases in theta and alpha power in the striatum compared to increases in gamma power and decreases in delta and alpha power in the hippocampus. MK-801 administration (n = 8 for striatum, n = 9 for hippocampus; C) induced hyperlocomotion and an increase in tO2 in both brain regions. In the striatum, there was a large increase in HFO power along with moderate increases in delta and gamma power and decreases in theta and alpha power. In the hippocampus, there were increases in theta, gamma and HFO power and a decrease in delta power. PCP administration (n = 6 for striatum, n = 7 for hippocampus; D) induced hyperlocomotion and increased tO2 levels in both brain regions. In the striatum, there was a large increase in HFO power, moderate increases in gamma power and decreases in delta, theta and alpha power. In the hippocampus, there was an increase in gamma power and a phasic response was observed in delta and alpha power.
hoc tests showed that there were significant increases in striatal tO2 following treatment with ketamine (p < 0.05), MK-801 (p < 0.001) and PCP (p < 0.01) compared to saline. In the hippocampus, there were significant increases in tO2 following ketamine (p < 0.05) and MK-801 treatment (p < 0.05) compared to saline. For delta power (B), there was a significant decrease in delta power in the hippocampus following treatment with ketamine. For theta power (C), there was a significant increase in theta power in the hippocampus following treatment with MK-801. For alpha power (D), there was a significant increase in alpha power in the hippocampus following treatment with ketamine. For gamma power (E), there was a significant increase in gamma power in the hippocampus following treatment with MK-801. For HFO power (F), there was a significant increase in HFO power in the striatum following treatment with MK-801. (*p < 0.05; **p < 0.01; ***p < 0.001.

4. Discussion

This study confirms that recordings made with amperometric sensors contain two main components: a slow, chemical-based component (in this case attributable to oxygen) and a faster, electrophysiological-based component (attributable to LFP; Zhang et al., 2009). Evidence to support this finding comes from the lack of contribution of oxygen to frequency components above 1 Hz in vivo and the similarity of higher frequency components of the amperometric signal recorded in vivo to recordings made with standard electrophysiological protocols (as presented here and in the wider literature). Using amperometric sensors in such a way allows for increased translational validity as changes in tO2 can be used as an analogue of BOLD activity in fMRI (Lowry et al., 2010) while simultaneously acquiring electrophysiological information that can be related to human electrophysiological recordings. This enables preclinical researchers to design experiments in freely-moving animals that better approximate human neuroimaging studies (Francois et al., 2012, 2014, 2016), thus providing preclinical researchers a powerful tool for understanding the relationships between brain activity with neuropharmacological and behavioural interventions.
By applying this method of simultaneously recording \( \text{T}_2 \) and LFP, we demonstrate here the pharmacological effects of three non-competitive NMDA-R antagonists on neuronal activity in the rat brain. These compounds were used as they have been consistently shown to modulate LFP in rodents in a known way (see Hunt and Kasicki, 2013 for an overview). As was expected, pharmacological changes in \( \text{T}_2 \) described here align with previous work with non-competitive NMDA-R antagonists using amperometry (Finnerty et al., 2013) and pharmacological MRI (Li et al., 2014). Importantly, many of the corresponding changes in power that were found in the LFP component of the amperometric signal correspond with changes that have been reported in the wider electrophysiological literature. In the striatum, there were significant increases in HFO power following MK-801 treatment, similar to those seen in standard electrophysiology (Hunt et al., 2006, 2011). Non-significant increases in HFO power were also observed following ketamine and PCP treatment. Changes in lower frequency bands in the striatum failed to reach significance though decreases in those frequency bands were expected (Dimpfel and Spüler, 1990).

In the hippocampus, significant decreases in delta and alpha power following ketamine administration were found in the amperometric signal. MK-801 also induced a significant increase in hippocampal theta, suggesting a peak shift down from alpha when non-competitively blocking NMDA-Rs. A decrease in delta (Dimpfel and Spüler, 1990) and theta power (Dimpfel and Spüler, 1990; Pitkänen et al., 1995; Ehrlichman et al., 2009; Lazarewicz et al., 2010; Kittelberger et al., 2012) was expected; though for hippocampal theta some groups have reported no change (Leung, 1985; Dimpfel and Spüler, 1990; Ehlers et al., 1992; Ma and Leung, 2000; Ehrlichman et al., 2009; Kittelberger et al., 2012; Saunders et al., 2012) or an increase (Dimpfel and Spüler, 1990) following treatment with non-competitive NMDA-R antagonists. These inconsistencies may be explained along three lines of reasoning: 1) Different drugs and doses appear to modulate these frequency bands in different ways; 2) some studies measure LFP from the whole hippocampus and others from specific hippocampal subfields; and 3) lack of agreement about theta’s frequency range is common with most papers defining theta as 5–10 Hz as opposed to splitting that range into 5–8 Hz for theta and 9–12 Hz for alpha. However, the case for hippocampal gamma and HFO is more clear-cut with the expected increase in the former and no change in the latter found following MK-801 treatment (with non-significant increases observed following the other two drug treatments), contrary to the case in the striatum (Ma and Leung, 2000; Ehrlichman et al., 2009; Lazarewicz et al., 2010; Hunt et al., 2011; Saunders et al., 2012).

Overall, these changes largely follow the trajectories predicted by the electrophysiological literature. The amperometric data confirms that there are clear differences between the striatum and hippocampus in how LFP responds to exploratory behaviour and pharmacological intervention. Further evidence to support the hypothesis that LFP is part of the amperometric signal can be gleaned from the movement power spectra with hippocampal power spectra being consistently larger than in the striatum due to the laminar organisation of the hippocampus (Berke, 2005). Interestingly, there is a less clear distinction between regions based on the \( \text{T}_2 \) changes with significant increases in both regions observed. Changes in \( \text{T}_2 \) (and therefore BOLD) does appear to be useful in confirming the time courses for when an animal is moving or when a drug is having an neurological effect as most of the pertinent changes in LFP occur concurrently.

It is currently impossible to differentiate between changes in power associated with \( \text{T}_2 \) (Li et al., 2014) and slow LFP oscillations (<1 Hz; Penttonen and Buzsáki, 2003); a second LFP-only recording electrode in close proximity to the CPE would be required to determine any possible relationships between slow oscillatory activity and \( \text{T}_2 \) levels. However, given that the power spectra obtained from recordings made at different applied voltages and from recordings made by using the CPEs in place of traditional electrophysiological recording electrodes are so similar, it is possible to say...
that the higher frequency components of the amperometric signal are due to changes in the LFP (Zhang et al., 2009). This allows for one set of electrodes to be used to simultaneously monitor changes in O$_{2}$a, a BOLD-like measurement (Lowry et al., 2010), with LFP, an electrophysiological biomarker that can also be measured in humans. As up to four electrodes can be implanted simultaneously, it is possible to dissect functional dissociation between regions based on pharmacological interventions and behavioural processes with these biomarkers. This technique consequently lends itself to drug evaluation trials by providing chronic, real-time information that can be used to better understand the mechanisms and validity of novel and established therapeutics with direct comparisons to neuroimaging data from human trials.

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References


