

Article

## Optimising Mobile Mapping System Laser Scanner Orientation

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**Abstract:** Multiple laser scanner hardware configurations can be applied to Mobile Mapping Systems. As best practice, laser scanners are rotated horizontally or inclined vertically to increase the probability of contact between the laser scan plane and any surfaces that are perpendicular to the direction of travel. Vertical inclinations also maximise the number of scan profiles striking narrow vertical features, something that can be of use when trying to recognise features. Adding a second scanner allows an MMS to capture more data and improve laser coverage of an area by filling in laser shadows. However, in any MMS the orientation of each scanner on the platform must be decided upon. Changes in the horizontal or vertical orientations of the scanner can increase the range to vertical targets and the road surface, with excessive scanner angles lowering point density significantly. Limited information is available to assist the manufacturers or operators in identifying the optimal scanner orientation for roadside surveys. The method proposed in this paper applies 3D surface normals and geometric formulae to assess the influence of scanner orientation on point distribution. It was demonstrated that by changing the orientation of the scanner the number of pulses striking a target could be greatly increased, and the number of profiles intersecting with the target could also be increased—something that is particularly important for narrow vertical features. The importance of identifying the correct trade-off between the number of profiles intersecting with the target and the point spacing was also raised.

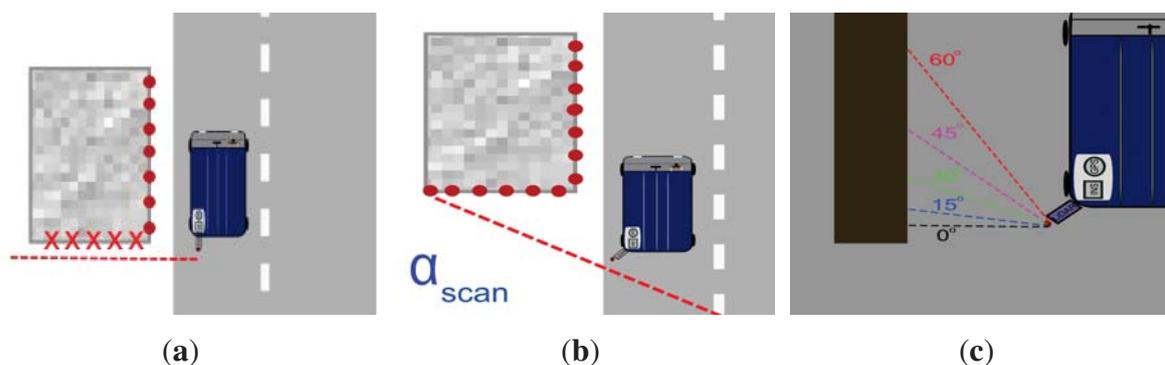
**Keywords:** point density; laser scanner; scanner orientation; mobile mapping systems

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## 1. Introduction

Mobile Mapping Systems (MMSs) can enable rapid, efficient and accurate data collection in terrestrial and marine environments. Combining varieties of measurement sensors such as cameras [1], laser scanners [2], thermal cameras [3] or ground penetrating radar [4] on a moving platform allows data collection at speeds that are comparable to surrounding vehicles. This ability negates the need for road-closures and increases the safety of survey crew by keeping the surveyor separate from moving traffic. A combination of GNSS receivers, Distance Measurement Instruments (DMIs) and Inertial Measurement Units (IMUs) enable direct georeferencing of spatial data without the need for ground control. Point clouds of city, urban or rural environments can then be used in multiple potential projects, ranging from transport projects [5] to river surveys [6], vegetation studies [7] or city models for analysing pedestrian behaviour [8]. The most common scanner type on commercial MMSs used to create these point clouds is the 2D full-circle scanner ([2,9–11]). This type of scanner relies on the forward motion of the MMS to provide the third dimension and results in a corkscrew scanning pattern.

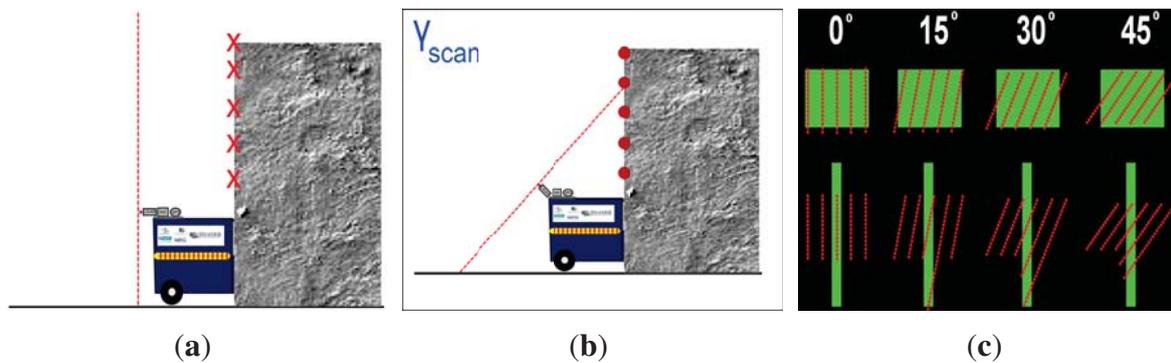
It is survey best practice when using 2D laser scanners on an MMS to orientate the laser scanner(s) on the survey platform to maximise coverage of the environment. Improving our understanding of how the changes in scanner orientation affect the scanning pattern can provide many potential benefits. Horizontal rotations of the scanner ensure contact between the 2D scan plane and any structures that are perpendicular to the direction of travel of the vehicle, something that would not occur if no rotation was applied, as demonstrated in Figure 1a,b. One side-effect of incorporating a horizontal rotation of a 2D scanner is that the range to target is increased (Figure 1c), which will result in a larger point spacing and therefore reduce point density.



**Figure 1.** Issues related to a horizontal scanner orientation for an MMS: (a) no rotation results in zero pulses striking a perpendicular target, (b) a horizontal rotation ( $\alpha_{\text{scan}}$ ) now results in pulses striking that surface, (c) horizontal rotations also result in an increased range to target.

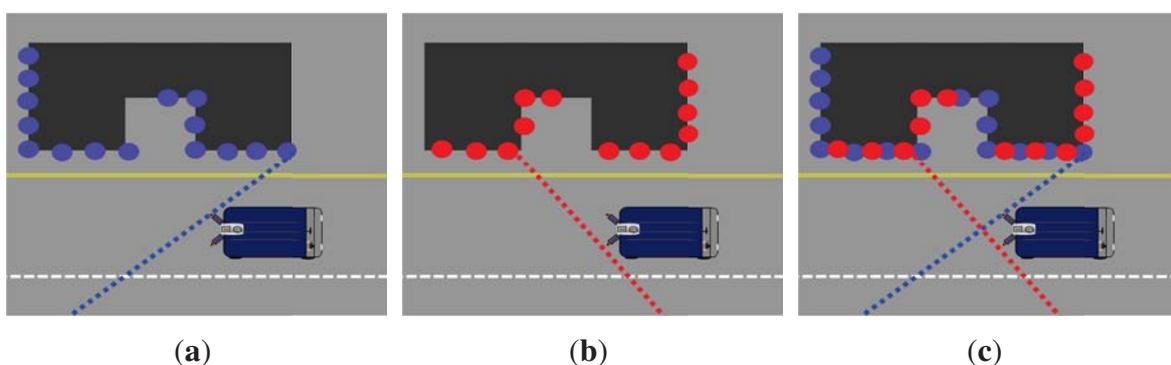
It is also survey best practice to introduce a vertical inclination of the laser scanner. This enables overhead structures that are perpendicular to the direction of travel to be captured by the scan plane, as demonstrated in Figure 2a,b. An additional benefit of incorporating a vertical inclination of the scanner is that the number of scan planes coming into contact with a vertical structure can be increased. The profile spacing is largely controlled by the vehicle velocity and the scanner mirror frequency, but narrow

objects can be missed entirely by subsequent scan profiles. A vertical inclination of the scanner can mitigate this risk, as demonstrated in Figure 2c, whereby the  $45^\circ$  rotation results in multiple scan profiles intersecting with the narrow vertical target. A combination of both a horizontal rotation and vertical inclination, providing the benefits of both and referred to in this paper as a dual axis scanner rotation, is employed in many of the commercial systems already referenced [2,9–11].



**Figure 2.** Issues relating to a vertical scanner inclination for an MMS: (a) no inclination results in zero pulses striking a perpendicular target overhead, (b) a vertical inclination ( $\gamma_{scan}$ ) now results in pulses striking the surface, (c) vertical inclinations result in more scan profiles intersecting with narrow vertical targets.

Incorporating a second scanner will also benefit MMS coverage. This is because the scan plane from one scanner can capture data that may be hidden to the second scanner. This is the major benefit of a dual scanner system and it not only increases the data volume on all surfaces but also reduces the risk of requiring a resurvey of an area to fill in data shadows. Figure 3a illustrates the interaction between a nearside scanner and a roadside structure. The scan plane does not come into contact with certain parts of the structure and therefore data shadows appear. Figure 3b demonstrates this principle for the scanner on the other side of the vehicle, although the area of shadow then becomes reversed. In Figure 3c the dual scanner system has surveyed each side of the structure that is visible from the road and all data shadows have been eliminated.



**Figure 3.** Benefits of a dual scanner system: (a) single scanner on left hand side results in data shadows, (b) single scanner on right hand side also results in data shadows but in alternate areas, (c) dual scanner system fills data shadows with laser pulses.

Survey specifications such as [12–14] specify strict guidelines for what point density is required for specific areas in a survey. Capturing information with the required point density is particularly important when automated algorithms will be used to extract features from the point cloud. Automated algorithms are a popular research topic in the mobile mapping community, as the potential savings in processing time that arise from automating these workflows are very attractive to industry. A number of works [15–21] demonstrate how non-trivial it is to create these algorithms, particularly when point density is low [22,23]. For example, the authors in [24–27] demonstrate that on cylindrical objects, either a minimum profile spacing or point spacing or both are required if trying to automate the process of identifying these features in a point cloud. Thus, there is strong justification for further research aimed at improving the point spacing, profile spacing or overall point density of objects in the point cloud to facilitate automatic recognition.

## 2. Background and Related Work

Changes in the scanner orientation can help maximise the information that the surveyor captures on objects in the point cloud. The effects of variations in MMS configuration and hardware have been of interest to the research community and industry for quite some time. To date, these tests have been carried out using multiple systems in benchmarking tests, LiDAR simulations or other methods, such as MIMIC, designed by the National Centre for Geocomputation at Maynooth University. The importance of this topic is becoming apparent as research groups and industry are beginning to provide more flexibility in their scanner mounting.

### 2.1. System Benchmarking

In their work on MMS benchmarking, [28] benchmarked a number of mobile mapping systems, providing results for a collection of commercial and research platforms. LiDAR simulations such as those developed in [29] for Aerial platforms are also popular and useful tools for assessing LiDAR system performance. These have been adapted for terrestrial platforms, as [30] demonstrated when they simulated multiple scanner orientations and scanner positions to minimise data shadows and developed metrics to visualise the point density in 3D urban scenes. In [31], a LiDAR simulator was used to perform MMS benchmarking. Tools such as Rigel's RiACQUIRE [32] also provide the functionality to test system performance; the ability to specify additional target and scanner parameters would help increase accuracy.

### 2.2. MIMIC: Mobile Mapping System Point Density Calculator

Although the horizontal and vertical orientation of the scanner varied over each system in the benchmarking tests listed above, these studies were not focussed on examining the sole influence of scanner orientation, and therefore further study is required to isolate its influence. An as yet unreleased prototype system, the "Mobile Mapping point density Calculator" or "MIMIC" has been designed and developed at the National Centre for Geocomputation at Maynooth University. MIMIC is an innovative system for calculating point distribution and point density. MIMIC and the multiple variables

that need to be considered when designing a system to calculate point density were first introduced in [33], and the formulae and processes used for its design and experimental validation are detailed in [34–37]. MIMIC calculates the theoretically optimal position that each laser pulse strikes a surface, and therefore random events such as occlusions, multiple returns and different surface types are not modelled. The purpose of MIMIC is to provide a tool for assessing MMS hardware configurations during mission planning stage or during MMS benchmarking, and therefore a standard scenario (the theoretically optimal position of each pulse) is considered to be suitable. MIMIC is compatible with different scanner hardware, scanner configurations, vehicle variables and target variables and provides detailed information in tabular or graphical format (using interpolation techniques designed in [38]) to help visualise point and profile distribution.

### 2.3. Adjustable Mounts

In the majority of hardware datasheets, very little information is available for operators on how important the scanner orientation is, largely due to the fixed nature of the scan and navigation hardware casings, such as ([2,9–11]). These systems are designed to protect the MMS hardware, keeping them contained in a water- and dust-proof casing, ensuring a constant lever-arm offset in the configuration and minimising the risk of boresight misalignments. They also enable easy transport and mounting of the hardware on different platforms and are designed to make the work of the MMS operator easier and to streamline the system calibration process. However, a number of MMS operators and scanner manufacturers have recognised the need to incorporate flexibility into their system configuration. These “adaptable” mounts can be subdivided into those that allow the orientation of the scanner to be altered in the horizontal or vertical. To the best of the authors’ knowledge, no adaptable mounts allow the orientation of the scanner to be altered in both axes.



**Figure 4.** MMS adaptable sensor mountings: (a) adjustable horizontal rotation from 3D Laser Mapping, (b) adjustable vertical inclination from Optech M1.

In Figure 4a an adaptable mount designed by 3D Laser Mapping allows the user to adjust the horizontal rotation of the scanner. The GNSS receiver and IMU also rotate with the scanner, which allows the operator to change the scanner orientation without needing to recalibrate the system. The latest MMS from Leica, the Pegasus 2 [39], also comes with an adaptable mount, designed specifically for the Leica ScanStation P20, enabling horizontal rotations only. The Optech scanner displayed in

Figure 4b, the M1 [10], contains adjustable orientation mounts for two LiDAR sensors. In [40], the authors incorporated vertical inclinations with the FARO LS880HE80 [41] in a series of tests on MMS performance on their system, the FGI ROAMER.

### 3. Quantifying Changes in Scanner Orientation

Horizontal rotations of the scanner increase the range to target. Vertical rotations of the scanner increase the profile angle. Dual axis scanner rotations do both. We applied MIMIC in a series of tests to calculate the profile spacing, point spacing and total number of pulses striking a target that any changes in scanner orientation may result in. In these tests, the axial rotation angles  $\alpha$ ,  $\gamma$  and  $\beta$  vary for the target and the surface. For the scanner,  $\alpha_{\text{scan}}$  relates to the horizontal angle of the scanner whereas  $\gamma_{\text{scan}}$  refers to the vertical inclination. For the target,  $\alpha_{\text{targ}}$  refers to the horizontal rotation whereas  $\beta_{\text{targ}}$  refers to a vertical inclination of the target. Readers are referred to [34] for more information on this principle and the rotation matrices applied.

#### 3.1. Horizontal Rotation

A horizontal rotation of the scanner ensures laser returns from surfaces perpendicular to the direction of travel. One result of this is that a horizontal rotation of the scanner increases the range to target, as Figure 1c illustrates in 15° increments. To quantify this using MIMIC, the PRR was kept constant at 300 kHz and the mirror frequency at 100 Hz in these tests. These were the parameters of one of the highest specification scanners on the market, the Riegl VQ-250 [42], at the time these tests were being designed. The initial tests employed a constant vehicle velocity of 50 km/h and the simulated target was 2 m wide and 1 m high, defined at a constant horizontal 5 m range from the vehicle. The horizontal scanner rotation was then increased in 15° increments and the total number of laser pulses striking the target was calculated after each scanner rotation change. The results of these tests are displayed in Table 1. Tests 1–4 demonstrate how the increase in measurement range arising from a horizontal rotation of the scanner affects the number of points striking the parallel vertical target. The higher the horizontal rotation of the scanner, the higher the point spacing, and therefore the lower the point density. For example, the 60° horizontal scanner rotation in Test 4 results in only 60% of the number of points striking the target when compared with the 0° horizontal scanner rotation in Test 1. This implies that moving from 45° rotation to 60° rotation results in a larger decrease than moving from 15° rotation to 45° rotation, despite the latter having twice as much angular change. This effect can be explained by the orientation of the surface, which in these tests is parallel. The higher scanner rotations have increased the range to the parallel target, as Figure 1c illustrates, and therefore increased point spacing.

#### 3.2. Vertical Inclination

Point density is also influenced by vertical scanner rotations. As previously demonstrated, a vertical scanner rotation alters the profile angle on vertical surfaces and is important for increasing the number of profiles intersecting a narrow target. Automated algorithms that require a minimum profile spacing or point spacing to recognise cylindrical objects can take advantage of vertical rotations of the scanner to

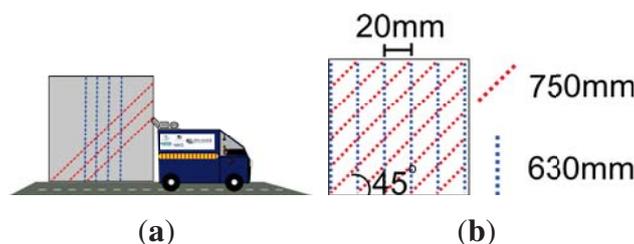
achieve this. Four vertical scanner rotations were implemented to assess their effects on the number of pulses striking the target. A parallel vertical target with dimensions of 2 m × 1 m and at 5 m range from the scanner was defined. In these tests, the scanner was inclined in 15° increments and the total number of points was measured on the target after each rotation. The results are detailed in Table 2. Each of the vertical rotations results in an equal or greater number of pulses striking the target than the corresponding horizontal scanner rotation in the previous tests. This is primarily because a vertical inclination of the scanner results in a longer profile intersecting with the target, as demonstrated in Figure 5. With a target of approximately 100 mm<sup>2</sup>, and a vehicle velocity resulting in a 20 mm profile spacing, a 45° rotation of the scanner results in an additional 120 mm of profile intersecting with the surface, which is an increase of 20%.

**Table 1.** The impact of horizontal scanner rotations on the number of pulses striking a parallel 2 m × 1 m target at a horizontal range of 5 m.

Test	$\alpha_{scan}$	$\gamma_{scan}$	$\alpha_{targ}$	$\beta_{targ}$	MIMIC
1	0°	0°	0°	0°	1126 pts
2	15°	0°	0°	0°	1103 pts
3	30°	0°	0°	0°	1029 pts
4	45°	0°	0°	0°	890 pts
5	60°	0°	0°	0°	670 pts

**Table 2.** The impact of vertical scanner rotations on the number of pulses striking a parallel 2 m × 1 m target at a horizontal range of 5 m at 50 km/h.

Test	$\alpha_{scan}$	$\gamma_{scan}$	$\alpha_{targ}$	$\beta_{targ}$	MIMIC
1	0°	0°	0°	0°	1126 pts
2	0°	15°	0°	0°	1103 pts
3	0°	30°	0°	0°	1168 pts
4	0°	45°	0°	0°	1264 pts
5	0°	60°	0°	0°	1328 pts



**Figure 5.** The influence of scanner orientation on point and profile distribution: (a) overview of scenario, (b) change in vertical inclination of the scanner results in an increase in the length of profile intersecting the target.

### 3.3. Dual Axis Scanner Rotations

Dual axis scanner rotations are an important characteristic of the current generation of MMSs. This scanner orientation provides the benefits of both a horizontal and a vertical scanner rotation. Features perpendicular to the direction of travel can be surveyed. Additionally, profile spacing is decreased on narrow targets. However, if a horizontal rotation of the scanner increases point spacing but a vertical inclination decreases profile spacing, there is therefore a possibility that the effect will be negated in terms of point density. The tests in this section applied a series of horizontal and vertical scanner rotations to investigate this possibility.

The same vehicle and target parameters were applied in these tests. The scanner rotation was simultaneously increased by  $15^\circ$  for both a horizontal and vertical scanner orientation after each test. Four dual axis scanner configurations were defined and the point density was calculated for the target. Table 3 details the results of these tests for the different scanner orientations. For every increase in horizontal and vertical rotation there is a corresponding increase in the number of points striking the target. The  $60^\circ/60^\circ$  horizontal/vertical dual axis scanner rotation was capable of reaching the highest point density, returning 4196 points. This conflicts with the previous results in Table 1, where a  $60^\circ$  horizontal scanner rotation resulted in a higher measurement range and therefore a larger point spacing and a reduced point density.

**Table 3.** The impact of dual axis scanner rotations on the number of pulses striking a parallel  $2\text{ m} \times 1\text{ m}$  target at a horizontal range of 5 m.

Test	$\alpha_{\text{scan}}$	$\gamma_{\text{scan}}$	$\alpha_{\text{targ}}$	$\beta_{\text{targ}}$	MIMIC
1	$0^\circ$	$0^\circ$	$0^\circ$	$0^\circ$	1126 pts
2	$15^\circ$	$15^\circ$	$0^\circ$	$0^\circ$	1142 pts
3	$30^\circ$	$30^\circ$	$0^\circ$	$0^\circ$	1380 pts
4	$45^\circ$	$45^\circ$	$0^\circ$	$0^\circ$	2039 pts
5	$60^\circ$	$60^\circ$	$0^\circ$	$0^\circ$	4196 pts

To investigate this further, an additional test where the horizontal scanner rotation remains fixed but the vertical scanner rotation is varied was designed. In this test, the horizontal scanner rotation was set at  $60^\circ$  but the vertical scanner rotation was increased by  $15^\circ$  after each test. Table 4 details the results of these tests. From a low starting point of 670 points, the number of pulses striking the target increases significantly as the vertical scanner rotation is increased. This is because the vertical scanner rotation increases the length of the profile that will intersect with the surface, thereby decreasing profile spacing and increasing point density. Additionally, due to the combination of horizontal rotation and vertical inclination of the scanner, the profile angle is even higher than what the vertical inclination might imply, as our work in [37] demonstrated. These tests demonstrate that a high vertical scanner rotation is capable of negating the increase in point spacing resulting from a horizontal rotation of the scanner on this target type. However, despite the high point density arising from this configuration, it is important to point out that a horizontal/vertical  $60^\circ/60^\circ$  orientation is not suitable for all targets. For instance, a target horizontally rotated  $-30^\circ$  or higher relative to the parallel target would never intersect with the  $60^\circ/60^\circ$

scan plane, similar to the situation demonstrated in Figure 1a. This scenario would result in no laser returns from the target and this is an unacceptable risk for a single scanner MMS operating commercially as it could result in having to re-survey an area. It is up to the MMS operator to select the orientation that best suits their automated algorithms or survey specification. In the following sections, we will look at some of the issues that the operator should consider.

**Table 4.** The importance of vertical scanner rotations in a dual axis scanner orientation for a parallel 2 m × 1 m target at a horizontal range of 5 m.

Test	$\alpha_{\text{scan}}$	$\gamma_{\text{scan}}$	$\alpha_{\text{targ}}$	$\beta_{\text{targ}}$	MIMIC
1	60°	0°	0°	0°	670 pts
1	60°	15°	0°	0°	842 pts
2	60°	30°	0°	0°	1245 pts
3	60°	45°	0°	0°	2214 pts
4	60°	60°	0°	0°	4196 pts

#### 4. Target Parameters and Point Distribution

MIMIC can be used to assess the influence of scanner orientation when multiple mission parameters change for a more complex target. In this case a narrow target is chosen while the range to target and the vehicle velocity are varied. The resulting changes in profile spacing and point spacing will influence automated algorithms whereby a minimum point spacing and profile spacing are required to recognise a cylindrical target. When choosing the MMS laser scanner orientation for a specific target, the operator can decide whether to prioritise profile spacing or point spacing.

##### 4.1. Minimising Point Spacing or Profile Spacing?

Narrow vertical targets are a common type of roadside infrastructure. It is important to minimise vertical point spacing and profile spacing on these narrow, vertical features because they are a potential limiting factor to certain automated algorithms. The target parameters were altered for these tests and a target of 0.1 m × 2 m was defined. A scanner operating at 300 kHz PRR and 100 Hz mirror frequency was simulated in MIMIC. The tests were designed with a constant vehicle velocity of 50 km/h. The number of laser pulses striking the target was measured after each 15° increase in the vertical scanner rotation. Table 5 displays the results for the point density tests. These tests demonstrate how the point density on a narrow vertical object increases as the vertical profile spacing decreases, as it has increased by a factor of 33% between Test 1 and Test 4 after an additional 45° vertical scanner rotation.

The lowest vertical scanner rotation (15°) results in just over 12% the number of scan profiles intersecting with the target that the 60° rotation does. Despite this underperformance, it is important to note that a high number of scan profiles does not guarantee a high number of points striking the target. For example, Test 1 results in only 8.33% the number of profiles intersecting with the target compared with Test 4, yet Test 1 has almost 74% of the total number of points of Test 4. Assuming an equal distribution of points per profile line for targets at short ranges, there is approximately 41 points per

profile ( $P_{pp}$ ) in Test 1 but there are only 7  $P_{pp}$  in Test 4. This demonstrates the trade-off between the number of scan profiles intersecting with a target and the number of points per profile. Short scan profiles crossing the target, if combined with a large point spacing, can lead to a point cloud that inadequately represents the target. This is more likely to be encountered when the objects are very narrow or are at long ranges.

**Table 5.** The impact of vertical scanner rotations on point and profile distribution for a narrow vertical target of dimensions 0.1 m  $\times$  2 m at a horizontal range of 5 m.

Test	$\alpha_{scan}$	$\gamma_{scan}$	$\alpha_{targ}$	$\beta_{targ}$	Profiles	MIMIC	$P_{pp}$
1	0°	15°	0°	0°	3	122 pts	40.66
2	0°	30°	0°	0°	8	129 pts	16.12
3	0°	45°	0°	0°	14	144 pts	10.28
4	0°	60°	0°	0°	25	163 pts	6.52

#### 4.2. Influence of Range on Point Spacing

To demonstrate the influence of range on point spacing and to identify the effect of increased point spacing on the number of points per profile, the target range was varied in these tests. The horizontal scanner rotation was fixed at 60° to maximise the change in range resulting from a horizontal rotation, whereas the vertical scanner orientation was increased by 15° after each test. The target was simulated in MIMIC at three different ranges, increased in 5 m intervals. By examining Table 6, the effect of increased point spacing on point density at longer target ranges can be seen. For example, at 15 m range, the 8 profile lines resulting from the 15° vertical scanner rotation will result in approximately only 3  $P_{pp}$  (number of points/number of profiles). This is not ideal, but three points may provide some idea of the dimensions of the object and (depending on the accuracy of the scanner and navigation solution) may show if it is a curved surface. For the 60° vertical rotation this is not the case, as for the 50 profile lines this will result in just over 1  $P_{pp}$ . One point per profile is not sufficient to accurately define an object.

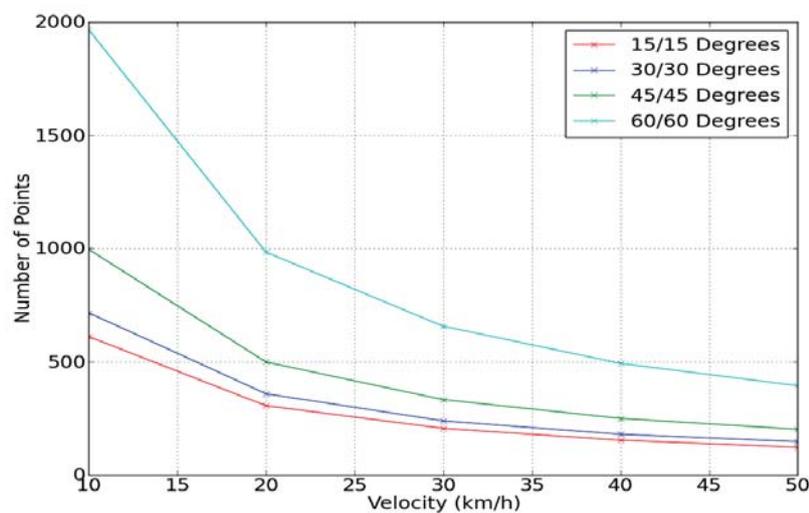
**Table 6.** The importance of vertical scanner rotations in a dual axis scanner orientation for a narrow vertical 0.1 m  $\times$  2 m target at different horizontal ranges. The change in approximate  $P_{pp}$  over range is displayed.

$\alpha_{scan}/\gamma_{scan}$	Profiles	MIMIC 5 m	$P_{pp}$	MIMIC 10 m	$P_{pp}$	MIMIC 15 m	$P_{pp}$
60°/15°	8	85 pts	11	40 pts	5	26 pts	3
60°/30°	17	117 pts	7	49 pts	3	30 pts	2
60°/45°	29	197 pts	7	71 pts	2	41 pts	1
60°/60°	50	393 pts	8	136 pts	3	72 pts	1

#### 4.3. Influence of Vehicle Velocity on Profile Spacing

If an MMS operator is attempting to balance points per profile and also profile spacing, they must also take vehicle velocity into account. The next tests examined the impact of the dual axis scanner

rotations and the vehicle velocity on point density for narrow targets. The vehicle velocity was increased in 10 km/h increments and the number of pulses striking the target was measured on the target after each velocity increase. The range to target was set constant at 5 m for these tests. Figure 6 illustrates the results of the point density calculation and vehicle velocity on a narrow target for a selection of dual axis scanner rotations. The increase in the number of pulses striking the target arising from a 60°/60° scanner orientation was approximately twice that of the 45°/45° scanner orientation. As previously explained, this was due to the smaller vertical profile spacing arising from a high profile angle. To verify this, two different dual axis scanner rotations and their intersection with a parallel vertical surface is calculated by MIMIC. The profile spacing and profile angle were measured. The difference in profile angle between the two was 19.10° and this equates to a difference in profile spacing of 0.058 m on a vertical target, but 0.058 m could be significant for narrow targets.

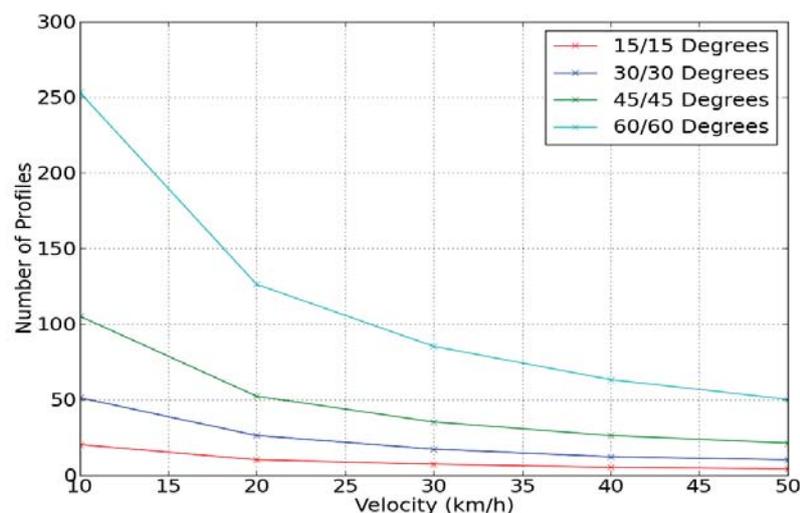


**Figure 6.** The effect of vehicle velocity and dual axis scanner rotation on the number of points for a narrow vertical target. Target range is 5 m and target dimensions are 0.1 m × 2 m.

It is important to note that at shorter ranges, the reduction in  $P_{pp}$  may not be an issue. To demonstrate this, a test was designed to measure the  $P_{pp}$  for a narrow target using four different dual axis scanner rotations. A target range of 5 m and a vehicle velocity of 50 km/h were applied. Table 7 lists the number of  $P_{pp}$  for these tests. Six is the lowest number of  $P_{pp}$  occurring in these tests. This occurs in Test 4, which is the 60°/60° dual axis rotation and therefore has the highest number of profiles. Although the number of  $P_{pp}$  from the 15°/15° dual axis rotation is five times this amount, six points is sufficient for defining a narrow object only 0.1 m wide. Conversely, the 15°/15° rotation provides the highest number of  $P_{pp}$ , but this must be offset against the number of scan profiles that may be required by an automated algorithm. Figure 7 illustrates the number of scan profiles on a target at different velocities for each scanner orientation in Table 7. As velocity increases, the number of scan profiles for each dual axis scanner rotation drops significantly, reinforcing the link between velocity and profile spacing.

**Table 7.** The number of points per profile on a narrow vertical target of dimensions  $0.1 \text{ m} \times 2 \text{ m}$  for different dual axis scanner rotations at 5 m range and a vehicle velocity of 50 km/h.

No.	$\alpha_{\text{scan}}$	$\gamma_{\text{scan}}$	$\alpha_{\text{targ}}$	$\beta_{\text{targ}}$	$P_{\text{pp}}$
1	15°	15°	0°	0°	30
2	30°	30°	0°	0°	14
3	45°	45°	0°	0°	10
4	60°	60°	0°	0°	6



**Figure 7.** The effect of vehicle velocity and a dual axis scanner rotation on the number of profiles intersecting a narrow vertical target. Target range is 5 m and target dimensions are  $0.1 \text{ m} \times 2 \text{ m}$ .

As has been demonstrated, when designing a system to maximise point density, there is a trade-off between the number of scan profiles intersecting a narrow target and also the number of  $P_{\text{pp}}$ . A high point density may not accurately define a target if the number of  $P_{\text{pp}}$  is too low, or if the profile spacing is too high. A number of factors influence point density: system parameters, target parameters and vehicle parameters. Consideration must also be given to those target orientations that will return no points for specific scanner orientations. Therefore, when designing a fixed scanner system, the configuration of the scanner orientation is an extremely important decision. It is a decision that could cause manufacturers to err on the side of caution to ensure that the MMS will operate efficiently in the majority of scenarios. Alternatively, including a second scanner will largely negate these risks. A second scanner will increase the number of returns from a target and minimise data shadows, but will also lead to longer data processing times, increased hardware costs and higher data storage requirements.

## 5. Identifying the Optimal Orientation

It is difficult to quantify the point distribution required to recognise an object in a point cloud, and therefore this section summarises the effect of combining high vertical scanner inclinations with low horizontal scanner rotations. A human operator requires significantly fewer points to recognise an

object than an automated algorithm does. The research presented in [15–18,20,21,24–27] demonstrates the complexity of this problem. Each algorithm performs differently; the accuracy of each varies and many algorithms are designed for a specific feature (trees, buildings, road markings, road edges, *etc.*). Algorithm development has not reached the stage where we can definitively state the point distribution requirements, and therefore we have necessarily focussed on providing information on the implications behind changes in scanner orientation such that our results can assist with optimising scanner orientation.

These tests investigated whether this is a more practical configuration for a dynamic real-world environment. A number of trends were apparent when investigating the results.

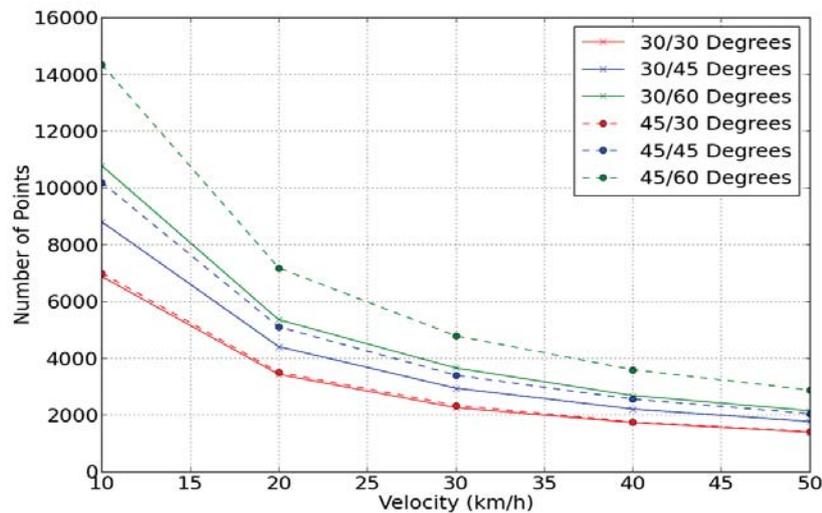
- As the dual scanner vertical and horizontal rotations are increased by 15° (e.g., 15°/15° up to 30°/30°) the point density approximately doubles.
- As the dual scanner vertical and horizontal rotations are increased by 15°, the number of profiles approximately doubles.
- As the velocity doubles, the point density approximately halves.
- As the range doubles, the resulting point density approximately halves.

When selecting the horizontal scanner rotation for the tests in this section, two important factors had to be considered. Firstly, higher horizontal rotations ( $\geq 60^\circ$ ) of the scanner could potentially miss a surface that is angled away from the MMS ( $\geq -30^\circ$ ). Additionally, a low horizontal rotation (15°) results in too few points striking a surface perpendicular to the direction of travel, which is the primary reason for introducing a horizontal rotation of the scanner. For these reasons, horizontal scanner rotations of 30° and 45° were selected while vertical scanner inclinations of 30°, 45° and 60° were selected. A 2 m × 1 m parallel vertical target was defined for these tests. The target range was fixed at 5 m and the vehicle velocity was set at 50 km/h. A scanner operating at 300 kHz PRR and 100 Hz mirror frequency was also defined in MIMIC.

The point density for each scanner orientation was then calculated. These point density results were assessed to identify whether the specified configurations perform better than the 30°/30° and 45°/45° configurations employed in the previous sections. For each scanner rotation combination, the increased vertical rotation of the scanner resulted in an increased point density. By combining a 45° horizontal rotation with a 60° vertical rotation, the number of points striking the target was increased from 10,188 to 14,343 points on the target when compared with the 45°/45° orientation. This equated to a 40% increase and also diminished the risk of missing a negatively rotated target that would arise with a 60° horizontal scanner rotation. Additionally, it also decreases the distance to the target when compared with the 60° horizontal scanner rotation and therefore decreases the point spacing on the target. Figure 8 illustrates the results of these tests.

These results are target-dependant and system-specific. For standard parallel targets such as walls or building facades,  $\alpha_{\text{scan}}/\gamma_{\text{scan}}$  of 45°/60° is the recommended orientation for nearside infrastructure. This orientation is particularly relevant for maximising point density with single scanner MMSs. The 45°  $\alpha_{\text{scan}}$  increases the number of profiles intersecting with objects perpendicular to the direction of travel, yet does not constitute an excessive  $\alpha_{\text{scan}}$  that could potentially result in zero returns on negatively rotated targets. The 60°  $\gamma_{\text{scan}}$  decreases the vertical profile spacing and therefore increases point density. However, for the multiple possible angled targets, the recommended orientation may change.

MIMIC allows for examination of multiple target orientations and dimensions. MIMIC can therefore provide the surveyor with the recommended configuration information for any valid user-defined target.



**Figure 8.** Optimising scanner orientation: Identifying the recommended dual axis scanner rotation for increasing the number of pulses striking a parallel vertical target at different vehicle velocities. Target range was 5 m and target dimensions were 2 m × 1 m.

## 6. Conclusions

Our tests demonstrated that the orientation of the scanner influences point and profile distribution significantly. Changing the vertical inclination of the scanner provides a method to increase the number of pulses striking the target by maximising the length of the scan profile that intersects with the surface. Changing the horizontal rotation of the scanner increases the range to the target while increasing point spacing. The importance of identifying the correct trade-off between the number of profiles intersecting with the target and the point spacing was also demonstrated when applying a dual axis scanner rotation. Choosing a dual axis scanner orientation that optimises point density, point spacing or profile spacing for one target will unavoidably result in a reduction in these values for other target types. The “catch-all” is to assign medium horizontal and vertical scanner orientations, which assists with minimising shadowing and provides an acceptable point distribution. However, in a scenario where an algorithm has been designed to extract features automatically from the point cloud, it makes sense for the operator to configure the system to ensure the best chance of achieving the required point distribution that will permit that algorithm to function. The MMS operator can also ensure their system is capable of recording the smallest feature in a survey specification document or tender document. If manual operator input is required for other targets, then the point distribution can be allowed to drop in these areas but increased in others to take advantage of the automated element. In this paper we have shown that if the scan hardware has a high mirror speed, the operator can take advantage of this and prioritise point spacing. It has also been shown that if the scanner has a high pulse repetition rate, whereby using their knowledge of the system and algorithms, the operator can balance the requirement in points per profile against the

number of profiles intersecting the target. MIMIC is a vital tool that can be used to quantify changes in system configuration on MMS performance.

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### Author Contributions

MIMIC was developed by Conor Cahalane. Paul Lewis and Conor P. McElhinney collaborated with Cahalane in identifying and designing each test. Tim McCarthy provided technical advice at all stages. All authors contributed equally in preparing this manuscript.

### Conflicts of Interest

The authors declare no conflict of interest.

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