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# The Structure of Peritraumatic Reactions and their Relationship with PTSD among Disaster Survivors

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#### Abstract

Peritraumatic reactions such as fear, psychic and somatoform dissociation, tonic immobility, data-driven processing, and mental defeat are important in the etiology of posttraumatic stress disorder (PTSD). However current measures of such reactions overlap conceptually and do not clearly identify distinct peritraumatic processes. It is not known which processes are uniquely associated with PTSD. We investigated the factor structure of six standard peritraumatic measures and their relationship with the four DSM-5 PTSD symptom clusters. Measures were administered to 308 earthquake survivors with high levels of exposure to traumatic events. Items comprising the six measures were investigated using exploratory structural equation modeling, which identified five peritraumatic response factors. Items from most measures loaded on multiple factors. The factors labeled Mental Defeat and Somatoform Dissociation significantly predicted all PTSD symptom clusters. The factor labeled Cognitive Overload significantly predicted Intrusions, Avoidance, and Alterations in Arousal and Reactivity. The factor labeled Immobility significantly predicted Intrusions and Avoidance while Distress significantly predicted Negative Alterations in Cognition and Mood and Alterations in Arousal and Reactivity. Due to the key role such reactions play in the development of PTSD, the findings are likely to benefit the study of etiological mechanisms, the prediction of those at greatest risk, and the design of preventative interventions.

#### **General Scientific Summary**

How a person feels, thinks, and behaves during a traumatic event, i.e., the peritraumatic response, can play an important role in determining whether they develop posttraumatic stress disorder (PTSD). However, it is not known how many distinct peritraumatic responses there are and how they are associated with PTSD. We analysed six commonly-used measures

of peritraumatic response given to a sample of earthquake survivors and found five distinct underlying factors, which showed distinct patterns of association with different symptom clusters of PTSD.

Keywords: trauma; disaster; earthquakes; PTSD; dissociation; peritraumatic

The systematic study of peritraumatic reactions (i.e., transient feelings, thoughts, and behaviors that take place either during or immediately after exposure to trauma) principally began with the studies by Marmar and colleagues (Marmar, Weiss, Metzler, Ronfeldt, & Foreman, 1996; Marmar et al., 1994) and received further impetus with the inclusion of Criterion A2 (i.e., the specification that traumatic experiences had to be accompanied by intense feelings of fear, helplessness, and horror) in the DSM-IV posttraumatic stress disorder (PTSD) diagnosis (American Psychiatric Association, 1994). Since then peritraumatic phenomena have been found to be strong predictors of the risk of developing PTSD following a traumatic event (Bovin & Marx, 2011), and are of considerable clinical and theoretical importance.

There are a number of peritraumatic scales that measure psychological, physiological, and somatic reactions but many of these contain overlapping items and how the contents of the scales relate to one another is not known. There is an urgent need to understand how many distinct and robust peritraumatic reactions exist and to determine which of these is central in predicting the risk of developing PTSD. The following sections will introduce the key peritraumatic phenomena that have been most studied in the literature on PTSD (Gorman, Engel-Rebitzer, Ledoux, Bovin, & Marx, 2016). Current limitations will be outlined followed by our research questions.

### **Different Types of Peritraumatic Response**

**Peritraumatic dissociation (psychic).** Dissociation is a complex phenomenon that encompasses several components including depersonalization, derealization, amnesia, and identity confusion or alteration (Bryant, 2007; Cardeña, 1994; van der Hart, Nijenhuis, Steele, & Brown, 2004). Peritraumatic dissociation has been defined (Marmar, Metzler, & Otte, 2004) as alterations "in the sense of self, time, place, and meaning, which confer a sense of unreality to the event as it is occurring" (p. 146). Meta-analyses on PTSD risk factors have reported that peritraumatic dissociation is a strong predictor of PTSD with a weighted *r* in the range 0.35 – 0.40 (Lensvelt-Mulders et al., 2008; Ozer, Best, Lipsey, & Weiss, 2003). However, caution has been advised in interpreting such findings due to several methodological differences and shortcomings across heterogeneous studies, together with theoretical and conceptual complexities in defining and measuring peritraumatic dissociation (van der Hart, van Ochten, van Son, Steele, & Lensvelt-Mulders, 2008).

**Peritraumatic distress.** Substantial evidence supports the link between psychological distress at the time of the trauma and the subsequent development of PTSD (Brewin, Andrews, & Rose, 2000; Vance, Kovachy, Dong, & Bui, 2018). Peritraumatic distress now includes emotions such as anger, shame, and guilt (Andrews, Brewin, Rose, & Kirk, 2000), threats to physical safety or thoughts of death (March, 1993), panic (Adams & Boscarino, 2011), and a variety of physiological signs of arousal including shaking, increased heart rate, and loss of bowel and bladder control (Solomon, Laor, & McFarlane, 1996). A meta-analysis on the relationship between peritraumatic distress and PTSD symptoms found a significant weighted r = 0.55 (Thomas, Saumier, & Brunet, 2012).

**Peritraumatic dissociation (somatoform).** Somatoform dissociation is a concept derived from studies of physical responses to threat in animals such as "playing dead" (Nijenhuis, Vanderlinden, & Spinhoven, 1998). It was defined as specifically involving the body and comprising a partial or complete loss of perception, such as paralysis, analgesia, and anaesthesia, as well as more active involuntary responses such as distortions in the visual field or ataxia (Nijenhuis, van der Hart, Kruger, & Steele, 2004).

**Tonic immobility.** Tonic immobility is the last step within the defence cascade, taking place after freezing, flight, and fight, when escape or resistance has been unsuccessful. It is characterized by catatonic-like motionless posture, suppressed vocal behavior, analgesia, intermittent periods of eye closure, fixed, unfocused stare or gaze, Parkinsonian-like tremors in the extremities, and waxy flexibility, together with sympathetic and parasympathetic reactions (Gallup & Rager, 1996). Tonic immobility has been extensively assessed in rape survivors (Kalaf et al., 2017) but recent evidence highlights its presence in a variety of different traumas characterized by situations in which escape or resistance is not possible (Hagenaars, 2016). In retrospective studies higher levels of tonic immobility at the time of the trauma were found to be associated with subsequent PTSD (Kalaf et al., 2015).

**Data-driven processing.** Data-driven processing has been defined as a bottom-up information processing style characterized by a disproportionate processing of sensory/perceptual stimuli (Halligan, Clark, & Ehlers, 2002). The concept was first applied to PTSD by Ehlers and Clark (2000), who proposed that intrusive memories result from excessive data-driven processing at the time of the trauma, resulting in poorly elaborated memories that are insufficiently integrated with other autobiographic knowledge. Data-driven processing has been associated with higher levels of intrusive memories and PTSD (Ehring, Ehlers, & Glucksman, 2008; Halligan et al., 2002; Halligan, Michael, Clark, & Ehlers, 2003).

**Mental defeat.** Mental defeat is an extreme form of powerlessness in which individuals lose their sense of being human and cease caring about whether they are going to live or die (Dunmore, Clark, & Ehlers, 1999, 2001). Mental defeat has been found to predict PTSD symptom trajectories in prospective studies up to 6 to 9 months following trauma (Dunmore et al., 2001; Freeman et al., 2013; Kleim, Ehlers, & Glucksman, 2012). Studies to date have mostly focused on assault survivors (Dunmore et al., 1999, 2001), survivors of political imprisonment (Ehlers, Maercker, & Boos, 2000), and on post-conflict contexts (Wilker et al., 2017).

# **Inter-Relationship of Peritraumatic Reactions**

There is little consensus concerning how these responses relate to one another. Most attention has been paid to the relationships between peritraumatic distress and peritraumatic

dissociation. Correlations between them tend to be in the range of 0.5 – 0.7 (Brunet et al., 2001; Bui et al., 2011; Kannis-Dymand, Carter, Lane, & Innes, 2019). In some cases, the association between peritraumatic dissociation and PTSD has been found to significantly decrease when peritraumatic distress is also included as a predictor (van der Hart et al., 2008). However, other studies have found peritraumatic distress to predict PTSD even after dissociation was entered in the model (Birmes et al., 2005; Bui et al., 2010; Moss et al., 2017). Some have argued that peritraumatic dissociation is a mediator of the relationship between peritraumatic distress and PTSD (Otis, Marchand, & Courtois, 2012) or that peritraumatic distress is a trigger for peritraumatic dissociation (Fikretoglu et al., 2006).

Tonic immobility and peritraumatic dissociation have also been found to be positively associated (Fusé, Forsyth, Marx, Gallup, & Weaver, 2007; Marx, Forsyth, Gallup, Fusé, & Lexington, 2008). In one study (Abrams, Carleton, & Asmundson, 2012) tonic immobility did not predict PTSD severity after peritraumatic dissociation and trait anxiety were controlled for.

One paper assessed the relationship between somatoform and psychic dissociation and found a strong positive correlation of r = .62 (Nijenhuis, van Engen, Kusters, & van der Hart, 2001). In a prospective study, somatoform dissociation and psychic dissociation both predicted PTSD at 6 months, but not when initial PTSD numbing was controlled for (Hagenaars, van Minnen, & Hoogduin, 2007).

A significant problem with this literature is that few studies have included more than one or two measures of peritraumatic responding. The high correlations typically obtained between them may reflect overlap in items belonging to different scales. Moreover, individual measures are often composed of a number of factors, some of which overlap conceptually with other measures (Hagenaars, 2016). It is, therefore, unknown how many separate constructs are needed to account for the variety in peritraumatic responding, and how these constructs are associated with PTSD. It is likely that peritraumatic responding is associated with specific symptom clusters, such as intrusions or alterations in arousal and reactivity.

#### **Research Questions**

In this study, we assessed the latent structure of items from representative scales measuring each of the six peritraumatic reactions reviewed above to determine the optimal latent structure of peritraumatic responses. We used exploratory structural equation modelling (ESEM) (Asparouhov & Muthén, 2009) to determine (1) the optimal number of latent factors to be extracted, and (2) their association with the four constituent symptom clusters of PTSD (Intrusions, Avoidance, Negative Alterations in Cognition and Mood [NACM], and Alterations in Arousal and Reactivity) described in DSM-5 (American Psychiatric Association, 2013).

#### Methods

#### **Participants and Recruitment**

All participants were survivors of the 2016-2017 Central Italy earthquakes. On the 24th of August, 2016 a 6.0 M<sub>w</sub> earthquake struck Central Italy, destroying the majority of buildings in Amatrice, Accumoli, and Arquata del Tronto. The vast majority of the deaths, 238 out of 299, were registered in Amatrice (population 2,500), the hamlet where the research took place. Further powerful shocks struck Central Italy during this period, the last being on the 18th January 2017.

A purposive sample of 341 participants was identified, building on a previous study conducted in the area by the authors (Massazza, Joffe, & Brewin, 2019), and with the aid of the health centre and the local municipality. Participants were contacted individually by telephone or face-to-face to generate a sample that was approximately representative of the population as whole in terms of age and gender as per 2016 census data (Istituto Nazionale di Statistica, 2016). Of the 341 individuals contacted, 308 agreed to participate (90% response rate).

#### Measures

All measures were answered in respect of the earthquakes to which participants had been exposed.

**Mental Defeat Questionnaire (MDQ) (Dunmore et al., 1999).** The MDQ is an 11item self-report questionnaire that asks participants to retrospectively rate the extent to which they experienced mental defeat (e.g., helplessness, de-humanization) during the trauma from 0 "*not at all/never*" to 4 "*very strongly*" (example item "*I felt destroyed as a person*"). Scores can range from 0 - 44 with higher scores indicating more mental defeat. The internal reliability of the scale scores in this sample was excellent ( $\alpha = .89$ ).

Somatoform Peritraumatic Dissociation Questionnaire (SDQ-P) (Nijenhuis & van der Hart, 1998). The SDQ-P is a 11-item self-report questionnaire which asks participants to retrospectively rate the extent to which they experienced somatoform dissociation reactions (e.g., distortions in body perception or unusual bodily experiences) during the trauma from 1 "*not at all*" to 5 "*extremely*" (example item "*It felt as if my body, or parts of it, disappeared*"). Participants specify whether the reaction might have been due a physical cause (e.g., medical condition, being physically restrained). Scores can range from 11 - 55 with higher scores indicating more dissociation. Internal reliability of the scale scores was excellent ( $\alpha = .83$ ).

**Peritraumatic Dissociative Experiences Questionnaire (PDEQ) (Marmar, Weiss,** & Metzler, 1997). The PDEQ is a 10-item self-report questionnaire which asks participants to retrospectively rate the extent to which they experienced a series of dissociative reactions (e.g., derealization, depersonalization, distortions in sense of time *etc.*) during the trauma from 1 "*not at all true*" to 5 "*extremely true*". Example item: "*What was happening seemed*  unreal to me, like I was in a dream, or watching a movie or play". Scores can range from 10 – 50 with higher scores indicating more dissociation. Internal reliability of the scale scores was excellent ( $\alpha = .89$ ).

**Tonic Immobility Scale (TIS) (Forsyth, Marx, Fusé, Heidt, & Gallup, 2000).** The TIS is a 10-item self-report questionnaire which asks participants to retrospectively rate the extent to which they experienced tonic immobility (e.g., paralysis, suppressed vocal behavior *etc.*) during the trauma from 0 "*not at all*" to 6 "*extremely*". Example item "*Rate the degree to which you froze or felt paralyzed during your most recent experience*". Scores can range from 0 - 60 with higher scores indicating more immobility. Internal reliability of the scale scores was excellent ( $\alpha = .87$ ).

**Peritraumatic Distress Inventory (PDI) (Brunet et al., 2001)**. The PDI is a 13-item self-report questionnaire which asks participants to retrospectively rate the extent to which they experienced distress (e.g., negative emotions or perceptions of life threat) during the trauma from 1 "*not at all true*" to 5 "*extremely true*" (example item "*I thought I might die*"). Scores can range from 13 – 65 with higher scores indicating more distress. Internal reliability of the scale scores was excellent ( $\alpha = .82$ ).

**Data-Driven Processing Scale (DDPS) (Halligan et al., 2002).** The DDPS is an 8item self-report questionnaire that asks participants to retrospectively rate the extent to which they experienced data-driven processing (e.g., disproportionate perceptual processing) during the trauma from 0 "*not at all*" to 4 "*very strongly*" (example item "*I was overwhelmed by sensations and couldn't put everything together*"). Scores can range from 0 - 32 with higher scores indicating more data-driven processing. Internal reliability of the scale scores was excellent ( $\alpha = .91$ ).

**PTSD symptom clusters**. Participants also completed the PTSD Checklist for DSM-5 (PCL-5), a 20-item self-report measure assessing the 20 symptoms of PTSD (Weathers et al., 2013). The rating scale ranges from 0 ('*not at all'*) to 4 ('*extremely*') focusing on how bothered the individual was by the symptoms in the last month. The PCL-5 has been shown to have high total internal reliability ( $\alpha = .90$ ) and acceptable to good internal reliability for the subscales Intrusions, Avoidance, NACM, and Alterations in Arousal and Reactivity ( $\alpha$ range = .57 – .78) (Sveen, Bondjers, & Willebrand, 2016). Internal reliability of the overall scale scores in the current sample was excellent ( $\alpha = .94$ ).

Finally, participants answered a series of demographic questions investigating traumatic exposure, gender, age, level of education, religious affiliation, and residency.

# Procedure

All questionnaires went through a thorough back-translation procedure. As the only measure without an official English translation, the SDQ-P was first translated from Dutch into English with the aid of a native-speaking expert in peritraumatic reactions. Then all measures were translated from English to Italian by the first author. The Italian translations were then given to a second translator fluent in both Italian and English who translated the measures back into English blind to the original versions. The original English version and the back-translated English version were then compared, and discrepancies resolved.

Data collection took place for three months in May, June, and July 2018. This was 20 months following the earthquake in August 2016 and 15 months following the last major earthquake in January 2017. The UCL Research Ethics Committee approved this research with the project ID: 10517/001. The project was also approved by the national health service center of Rieti and by the local municipality, Comune di Amatrice. Prior to taking part participants read an information sheet and provided written informed consent.

# Data analysis

Descriptive statistics were calculated for all demographic and earthquake exposure variables, peritraumatic reactions, and PTSD scores. ESEM was performed to determine the

optimal number of latent factors to extract to explain the covariance between the 63 items making up the six peritraumatic measures, and to model the associations between these factors and the PTSD symptom clusters. All analyses were performed using Mplus version 8.2 (Muthén & Muthén, 2017). The peritraumatic experience factors were modeled as the predictor variables and the four PTSD symptom clusters were modeled as the criterion variables. The four-factor model of PTSD produced acceptable model fit ( $\chi^2$  (164) = 445.62, p < .001; CFI = .97; TLI = .96; RMSEA (90% CI) = .08 (.07, .08); SRMR = .05).

ESEM models with one to seven factors were estimated, and because the peritraumatic experience indicators are ordered categorical in nature, the Weighted Least Squares Mean- and Variance-adjusted (WLSMV) estimator was used (Flora & Curran, 2004). The models were estimated using Geomin rotation meaning the extracted factors were free to correlate. There was minimal missing data (n = 1) and this was managed using the default pairwise deletion method. Assessment of model fit followed standard guidelines (Hu & Bentler, 1999) where acceptable fit is indicated by a non-significant chi-square test ( $\chi^2$ ), Comparative Fit Index (CFI) and Tucker Lewis Index (TLI) values > .90, and Root Mean Square Error of Approximation (RMSEA) and Standardized Root Mean Square Residual (SRMR) values  $\leq$  .08. The  $\chi^2$  test produces inflated Type 1 errors, therefore model fit and model comparisons should not rely solely on this index (Tanaka, 1987). In an ESEM context, overall model fit improves with increasing numbers of extracted factors, therefore, determining the optimal factorial solution was made on the basis of several criteria. Statistically, we inspected the change in the TLI value ( $\Delta$ TLI) for each model compared to the model with one less factor extracted. The  $\Delta$ TLI value is superior to the more commonly used  $\Delta$ CFI value because the TLI contains a penalty for increasing model complexity. Chen and colleagues (Chen, Curran, Bollen, Kirby, & Paxton, 2008) recommend that changes  $\geq$ .010 reflect significant improvement in model fit. Additionally, all models were estimated

using the Maximum Likelihood estimator to generate BIC values which can be used to compare nested and non-nested models. The model with the lowest BIC value should be favored, and a change of ten points represents strong evidence in favor of the model with the lower BIC value (Raftery, 1995). In addition to these considerations, model selection was guided by inspection of the model parameters and the interpretability of the extracted factors.

#### Results

#### **Descriptive Statistics**

The majority of participants were from Amatrice (n = 274), with a minority from other adjoining municipalities hit by the earthquakes (n = 34). The mean age of respondents (41% male, 59% female) was 47.65 years (*SD* 16.54, range = 18 - 81 years). All identified as Caucasian, and 84% as Catholic. Education levels ranged from primary school diploma (9%), middle school diploma (25%), secondary school diploma (52%), to university degree (14%). Average subjective earthquake intensity as measured on an 11-point scale from 0 ('*not intense at all*') to 10 ('*extremely intense*') was 9.1 (*SD* 1.74). Most participants (78%, n =243) had lost their house due to the earthquakes, with 35% (n = 109) losing a family member, 44% losing close friends (n = 138), and everybody losing acquaintances. Participants also reported being exposed to gruesome scenes such as corpses or body parts (37%), hearing voices of people asking for help from under debris (42%), witnessing someone dying in front of them (16%), and having injuries requiring urgent medical support (15%).

The mean and standard deviation of scores on the six peritraumatic measures were as follows: Mental Defeat Questionnaire (M = 10.53, SD = 9.88); Somatoform Peritraumatic Dissociation Questionnaire (M = 20.24, SD = 8.75); Peritraumatic Dissociative Experiences Questionnaire (M = 24.71, SD = 10.11); Tonic Immobility Scale (M = 23.78, SD = 15.23); Peritraumatic Distress Inventory (M = 36.63, SD = 10.01); Data-Driven Processing Scale (M = 16.18, SD = 9.13). The mean score on the PCL-5 was 24.82 (SD = 18.19), and 92 participants (29%) exceeded the suggested clinical cut off score of 33 (Bovin et al., 2016).

#### **Factor Structure**

The ESEM model fit results are presented in Table 1. All models with the exception of the one-factor model met minimum criteria for acceptable model fit. The  $\Delta$ TLI supported the extraction of five latent peritraumatic factors. The BIC value was also lowest for the five-factor ESEM model; the five-factor model had a BIC value 157 points lower than the four-factor model strongly supporting its statistical superiority. Based on these results and on the interpretability of the extracted factors, the five-factor ESEM model was deemed to be the optimal solution. The pattern of Geomin rotated factor loadings for the five peritraumatic experience factors in the ESEM model are shown in Table 2.

The 11 items from the Mental Defeat Questionnaire loaded strongly (p < .001) onto the first factor, with weaker loadings for several other items reflecting lack of control or negative emotional reactions such as guilt and shame. The factor was labeled 'Mental Defeat'.

The strongest loadings on the second factor consisted of items from the Somatoform Peritraumatic Dissociation Questionnaire, and all of the items from this scale loaded at p < .001. Most of the items from other scales loading strongly onto this factor were concerned with physical reactions, changes in bodily states, or changes in the person's sense of their body. This factor was labeled 'Somatoform Dissociation'.

Seven out of ten items from the Tonic Immobility Scale loaded at p < .001 on the third factor, with the strongest loading items reflecting an inability to move or respond in other ways. Items from other scales loading on this factor similarly reflected the freezing and tonic immobility responses as well as emotional states, predominantly fear for one's own safety and survival. The factor was labeled 'Immobility'.

The strongest loadings on the fourth factor consisted of items from the Data-Driven Processing Scale, particularly those indicating the person felt cognitively overwhelmed or unable to process what was going on. All eight items from the DDPS loaded at p < .001 onto this factor. There were also notably strong factor loadings for items from other scales reflecting alterations in cognitive state such as detachment, disorientation, and confusion. This factor was labeled 'Cognitive Overload'.

Finally, ten out of 13 items from the Peritraumatic Distress Inventory loaded at p < .001 onto the fifth factor. Items reflecting fear for one's own safety and survival that loaded highly on the fourth factor also loaded highly on this factor. What distinguished this factor were items from the PDI and other scales reflecting other emotional reactions, such as helplessness, sadness, anger, and fear for others. This factor was labeled 'Distress'.

# **Prediction of PTSD Symptom Clusters**

The effects of each peritraumatic experience factor on the four PTSD symptom clusters are shown in Figure 1 (with the corresponding zero-order correlations in Supplementary Table 1). The model fit the data well ( $\chi^2$  (3052) = 4044.67, p < .001; CFI = .96; TLI = .96; RMSEA (90% CI) = .03 (.03, .04); SRMR = .06), and explained a significant (ps < .001) proportion of variance in Intrusions (55.6%), Avoidance (29.1%), Negative Alterations in Cognition and Mood (50.0%), and Alterations in Arousal and Reactivity (45.9%). Mental Defeat and Somatoform Dissociation significantly predicted all four PTSD symptom clusters. Immobility significantly predicted Intrusions and Avoidance while Cognitive Overload significantly predicted all PTSD symptom clusters except Avoidance. Distress significantly predicted Negative Alterations in Cognition and Mood and Alterations in Arousal and Reactivity.

# Discussion

This is the first study to assess the overall structure of commonly studied peritraumatic reactions and their association with PTSD symptom clusters in a large sample of individuals exposed to the same trauma. Five distinct factors emerged, consisting of Mental Defeat, Somatoform Dissociation, Immobility, Cognitive Overload, and Distress, that overlap with but are partly independent of existing scales. Two aspects of these results are particularly noteworthy. The first is the identification of the Cognitive Overload factor that although strongly related to existing well-recognized constructs does not correspond exactly to any one of them. This factor is primarily constituted from items measuring psychic dissociation on the Peritraumatic Dissociative Experiences Questionnaire and items from the Data-Driven Processing Scale. The overriding theme of the items from these two separate scales was a sense of disorientation and confusion, combined with an inability to apprehend and cognitively process events in the normal way. Whereas data-driven processing is a theoretical construct designed to account for how traumatic events may lead to PTSD, cognitive overload is a description of people's experience.

The second aspect concerns the experience of extreme fear. Fear of dying or perceived threat to life is a well-established risk factor for PTSD (Ozer et al., 2003). Our data suggested that it can occur both in the context of physiological reactions such as freezing and immobility and, along with other negative emotions such as sadness, horror, and concern for others, in the context of general emotional distress.

Our results correspond to those of several other studies that investigated the structure of individual peritraumatic measures. For example, although some authors reported a two-factor solution for the PDEQ contrasting "altered awareness" and "derealization" (Bryant et al., 2009; Sijbrandij et al., 2012), our finding that both types of item tended to load on a single factor indicating disrupted information-processing was more similar to early reports by Marmar et al. (1994, 1996). Our analyses also provided support for the three-factor structure

of tonic immobility, where physical immobility, fear, and dissociation factors have been distinguished (Abrams, Carleton, Taylor, & Asmundson, 2009). In our ESEM analysis some TIS items loaded on the Immobility factor, other items reflecting fear loaded on both the Immobility and Distress factors, and items reflecting dissociation loaded on the Somatoform Dissociation and Cognitive Overload factors.

Factor analyses of the PDI have generally resulted in inconsistent findings (Brunet et al., 2001; Rybojad, Aftyka, & Samardakiewicz, 2018; Simeon, Greenberg, Knutelska, Schmeidler, & Hollander, 2003). Our ESEM results confirm the likely heterogeneity of the PDI as there were some high loading items on each of the five factors. However, the majority of items were split between the Distress factor and the Mental Defeat factor, the latter reflecting guilt, shame, and loss of control. The life-threat items could be distinguished from the distress items by their loadings on both our Immobility and Distress factors.

In the current sample, Mental Defeat was one of two factors most highly associated with the four PTSD subscales, and was the best predictor of Negative Alterations in Cognition and Mood and of Alterations in Arousal and Reactivity. Somatoform Dissociation was the second factor most highly associated with the four PTSD subscales, and was the best predictor for the Avoidance subscale. This highlights the relevance of the construct which is attracting growing interest although it has to date received less attention than psychic aspects of dissociation which here had higher loadings on the Cognitive Overload factor. Of note, the PDI item measuring helplessness loaded strongly on the Cognitive Overload factor, echoing a specific association between helplessness and out-of-body-experiences previously noted by Reynolds and Brewin (1999).

Anecdotally, many participants in our study reported uncertainty over whether their immobility reaction was "psychological" or caused by the earthquake tremors. This could have made the measurement of immobility less accurate in the current sample. Despite this

Immobility was associated with the Intrusions and Avoidance clusters. Previous research has shown that high levels of fear, as captured in the Immobility factor, are associated with more intrusions in PTSD (Reynolds & Brewin, 1999). The role of immobility in predicting intrusive memories has also been studied in the trauma film paradigm. This exposes healthy participants to traumatic images and assesses how many intrusive images occur over the following days. Increased intrusions are associated with spontaneous reports of immobility while watching (Kuiling, Klaassen, & Hagenaars, 2019) and with reductions in heart rate, an indicator of immobility (Chou, La Marca, Steptoe, & Brewin, 2014; Holmes, Brewin, & Hennessy, 2004).

In contrast, the Distress factor was associated with Negative Alterations in Cognition and Mood and Alterations in Arousal and Reactivity but not Intrusions or Avoidance. This echoes previous findings that PTSD symptoms from these clusters are part of a non-specific dysphoric element to the disorder that is distinct from intrusion and avoidance (Zelazny & Simms, 2015). Although fear loads on the Distress factor its influence on intrusions may be counteracted by the numerous other emotions such as anger, sadness, and concern for others.

One of the limitations of the current research lies in the retrospective nature of peritraumatic reports. The existing evidence for the consistency of such reports over time is mixed (David, Akerib, Gaston, & Brunet, 2010; Ouimette, Read, & Brown, 2005; Zoellner, Sacks, & Foa, 2001). In practice, detailed accounts of peritraumatic responding will always be retrospective, but could be accomplished sooner after the traumatic event and be followed up prospectively. Furthermore, we note that items from the same scale tended to load together and we cannot rule out the possibility that method effects (e.g., item ordering, shared wording, similar response formats) influenced the observed latent structure of peritraumatic distress. This limitation was impossible to avoid given the absence of a dedicated multifactor

measure of peritraumatic response. Current findings can aid in the development of such a measure.

Other limitations include the use of purposive sampling but this was necessary since most participants were living in temporary, undocumented housing such as containers (see Massazza, Joffe, and Brewin, 2019, for more details). Again, it is unclear how sampling bias could affect the observed relationships between different peritraumatic responses or their relative ability to predict PTSD. Also, while the response rate was very high, we recognize that the current sample size may have resulted in the ESEM model being underpowered given the number of observed indicators. Finally, our conclusions are limited by the possible lack of generalizability to other types of trauma (van der Hart et al., 2008).

These findings confirm the importance for psychopathology of how information is apprehended and processed during trauma (Brewin, Gregory, Lipton, & Burgess, 2010; Ehlers & Clark, 2000) as well as of the variety of negative emotions involving the self and others that may occur during trauma (Massazza, Brewin, & Joffe, in press; Vance et al., 2018). They also have implications for the conceptualization and operationalization of peritraumatic reactions, for interventions aimed at reducing the risk of PTSD following exposure, and for ensuring the wellbeing of individuals exposed to trauma more generally. In terms of measurement, our findings could be used to construct a new more comprehensive tool that would distinguish all five types of peritraumatic response. Further, understanding how people react during large scale disasters can assist the design of interventions such as disaster preparedness for survival (Joffe et al., 2019) and the planning of evacuations or drills in high risk communities (Drury, 2018). A more precise conceptualization of peritraumatic reactions is therefore a key research priority to safeguard the wellbeing, both mental and physical, of survivors.

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# Table 1

# ESEM Model Fit Results

	$\chi^2$	df	CFI	TLI	RMSEA	90% CI	SRMR	∆TLI K-1 factor	BIC
One factor	6328	3315	.876	.873	.054	.052, .056	.088		81880
Two factors	5420	3252	.911	.907	.047	.044, .049	.079	.034	81292
Three factors	4851	3190	.932	.927	.041	.039, .043	.070	.020	80972
Four factors	4420	3129	.947	.942	.037	.034, .039	.063	.015	80736
Five factors	4112	3069	.957	.952	.033	.031, .036	.058	.010	80591
Six factors	3918	3010	.963	.958	.031	.028, .034	.055	.006	80614
Seven factors	3774	2952	.966	.961	.030	.027, .033	.053	.003	80633

*Note.*  $\chi^2$  = chi-square test; df = degrees of freedom; CFI = Comparative Fit Index; TLI = Tucker-Lewis Index; RMSEA = Root Mean Square

Error of Approximation; SRMR = Standardized Root Mean Residual;  $\Delta$ TLI K-1 factor = Change in TLI value between model and model with one less factor; BIC = Bayesian Information Criterion (estimated via the ML estimator); All  $\chi^2$  results are statistically significant (p < .001). Best fitting models are in bold.

# **Table 2**Standardized Factor Loadings for the Five-Factor ESEM Solution

	Mental Defeat (F1)	Somatoform Dissociation (F2)	Immobility (F3)	Cognitive Overload (F4)	Distress (F5)
MDQ-1 "I lost any will-power"	.51	00	.17	.19	.08
MDQ-2 "I didn't care what happened to me anymore"	.62	.14	10	00	.02
MDQ-3 "I felt completely defeated"	.72	06	.12	02	.15
MDQ-4 "I no longer felt like a human being"	.59	.25	.08	.05	.03
MDQ-5 "In my mind, I gave up"	.77	07	.08	.13	02
MDQ-6 "I felt destroyed as a person"	.63	01	.03	.18	.18
MDQ-7 "I wanted to die"	.73	.08	08	.11	.04
MDQ-8 "I lost any inner resistance"	.59	.01	.11	.19	.05
MDQ-9 "I felt like an object"	.68	.06	.02	.04	01
MDQ-10 "I felt completely at the mercy of other people or the situation"	.61	.00	02	.00	.09
MDQ-11 "I felt completely humiliated and lost any sense of inner dignity"	.73	.24	12	.07	06
SDQ-P-1 "It felt as if my body, or parts of it, was paralysed"	.18	.26	.71	02	02
SDQ-P-2 "My visual field was smaller than usual (it felt as if I was looking through a tunnel or could just see a section of an area)"	10	.59	.23	.16	11
SDQ-P-3 "It felt as if my body, or parts of it, disappeared"	.25	.64	.15	.07	05

SDQ-P-4 "I felt temporarily paralysed or stiff"	.16	.33	.68	02	06
SDQ-P-5 "It felt as if my body, or parts of it, were numb"	.09	.61	.14	06	.11
SDQ-P-6 "My sense of taste diminished or was absent"	.10	.57	.09	02	.18
SDQ-P-7 "I crouched and automatically did not move, it was involuntary and not because I was physically restrained"	.05	.35	.35	08	.08
SDQ-P-8 "I felt like I had to vomit"	.04	.37	.14	01	.46
SDQ-P-9 "I made goal-directed movements that I did not control myself ( <i>e.g.</i> trying to grab something)"	07	.62	.16	.04	.10
SDQ-P-10 "I did not physically manage to eat and drink, although food and drinks were available and not forbidden"	30	.73	04	02	.52
SDQ-P-11 "I completely lost my appetite and thirst while I was hungry or thirsty before"	33	.75	14	.01	.56
PDEQ-1 "I had moments of losing track of what was going on. I "blanked out" or "spaced out" or in some way felt that I was not part of what was going on" PDEQ 2 "I found that I was on "automatic nilot". I	.08	.25	.01	.61	08
ended up doing things that I later realized I hadn't actively decided to do"	.06	.41	10	.44	07
PDEQ-3 "My sense of time changed. Things seemed to be happening in slow motion"	.15	.31	11	.41	.06
PDEQ-4 "What was happening seemed unreal to me, like I was in a dream, or watching a movie or play"	.08	.13	03	.67	04
PDEQ-5 "I felt as though I were spectator watching what was happening to me, as if I were floating above the scene or observing it as an outsider"	.11	.22	.02	.63	15

PDEQ-6 "There were moments when my sense of					
body seemed distorted or changed. I felt	24	15	12	23	04
disconnected from my own body, or it was unusually	.24	.43	.15	.23	04
large or small"					
PDEQ-7 "I felt as though things that were actually					
happening to others were happening to me-like I	.32	.37	.01	.26	02
was in danger when I really wasn't"					
PDEQ-8 "I was surprised to find afterwards that a lot					
of things happened at the time that I was not aware	.12	.20	.00	.45	07
of, especially things I ordinarily would have noticed"					
PDEQ-9 "I felt confused; that is, there were					
moments when I had difficulty making sense of what	.09	.16	02	.57	.19
was happening"					
PDEQ-10 "I felt disoriented; that is, there were					
moments when I felt uncertain about where I was or	.17	.29	02	.51	.08
what time it was"					
TIS-1 "Rate the degree to which you froze or felt	01	02	<b>Q1</b>	10	04
paralysed during your most recent experience"	.01	02	.01	.19	.04
TIS-2 "Rate the degree to which you were unable to	07	- 01	87	11	- 05
move even though not restrained"	.07	01	.07	.11	05
TIS-3 "Rate the degree to which your body was	- 05	23	44	04	45
trembling/shaking during the event"	05	.25		.04	.т.
TIS-4 "Rate the degree to which you were unable to	- 06	16	55	14	15
call out or scream during the event"	00	.10		.17	.15
TIS-5 "Rate the degree to which you felt numb or no	00	32	21	02	21
pain during the event"	.07	.52	.21	.02	.41
TIS-6 "Rate the extent to which you felt cold during	- 13	22	21	29	29
the event"	15	.22	.21	.2)	.47
TIS-7 "Rate the event to which you felt feelings of	- 09	03	46	20	53
fear/panic during the event"	07	.05	.+0	.20	•55
TIS-8 "Rate the extent to which you feared for your	02	- 01	55	_ 00	66
life or felt as though you were going to die"	.02	.01		.07	•00

TIS-9 "Rate the extent to which you felt detached from yourself during the event"	.08	.42	.28	.31	08
TIS-10 "Rate the extent to which you felt detached	0.7	22	•	40	24
from what was going on around you"	05	.33	.20	.49	21
PDI-1 "I felt helpless"	.10	20	.09	.37	.40
PDI-2 "I felt sadness and grief"	.29	12	.03	.20	.46
PDI-3 "I felt frustrated or angry"	.45	.01	08	03	.41
PDI-4 "I felt afraid for my own safety"	01	09	.39	.05	.58
PDI-5 "I felt guilty"	.40	.22	10	09	.23
PDI-6 "I felt ashamed of my emotional reactions"	.47	.27	.08	11	02
PDI-7 "I felt worried about the safety of others"	.14	09	05	.02	.51
PDI-8 "I had the feeling I was about to lose control of my emotions"	.36	.14	.17	.15	.21
PDI-9 "I had difficulty controlling my bowel and bladder"	.38	.37	.08	03	.07
PDI-10 "I was horrified by what I saw"	.08	.12	.05	.21	.30
PDI-11 "I had physical reactions like sweating, shaking, and my heart pounding"	.08	.24	.26	.17	.43
PDI-12 "I felt I might pass out"	.16	.30	.29	.15	.34
PDI-13 "I thought I might die"	.12	04	.44	13	.62
DDPS-1 "I couldn't really take it all in"	16	04	.03	.83	.09
DDPS-2 "I did not fully understand what was going on"	17	02	01	.94	00
DDPS-3 "It was just like a stream of unconnected impressions following each other"	04	04	.04	.81	.14
DDPS-4 "I could not think clearly"	.02	02	.05	.86	.03
DDPS-5 "I was overwhelmed by sensations and couldn't put everything together"	.08	07	01	.87	.10

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DDPS-6 "I was confused and could not fully make sense of what was happening"	08	.02	.09	.88	.02
DDPS-7 "My mind was fully occupied with what I saw, heard, smelled and felt"	.18	.00	05	.44	.30
DDPS-8 "My mind was full of impressions and my reactions to them"	.14	.06	08	.46	.32

*Note*. Factor loadings significant at p < .001 are in bold. MDQ = Mental Defeat Questionnaire; SDQ-P = Somatic Dissociation Questionnaire –

Peritraumatic; PDEQ = Peritraumatic Dissociative Experiences Questionnaire; TIS = Tonic Immobility Scale; PDI = Peritraumatic Distress

Inventory; DDPS = Data-Driven Processing Scale

# Figure 1

Simplified Description of the Effects of each Peritraumatic Factor on the Four PTSD Symptom Clusters.



*Note.* Only statistically significant standardized beta values are depicted. Factor loadings are not depicted for the sake of simplicity. \*p < .05, \*\*p < .01, \*\*\*p < .001.