The Influence of Ultraviolet Illumination on the Passive Behavior of Zinc

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The passive behavior of zinc in alkaline pH 13.0, 10.3, and 9.2 solutions, under dark and illumination conditions, has been studied using dc polarization and ac impedance techniques. It was found that illumination with polychromatic light caused dissolution of anodically formed passive layers on zinc, with this photoinduced dissolution effect being more pronounced in the more alkaline pH 13.0 solution. Photoinduced dissolution in the pH 10.3 and 9.2 solutions is explained in terms of the photodecomposition of ZnO through reaction with photogenerated holes. The more intense dissolution in the pH 13.0 solution is explained in terms of the photodecomposition of ZnO and the additional electrochemical dissolution in this alkaline solution which occurs as a result of the photodecomposition of the passive layer. Although the electrodes repassivated once the light source was removed, it was found that prior illumination led to a decrease in the donor densities and thus a modification of the defect structure of the passive layers.

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Zinc and many zinc alloys are used widely as metallic coatings in the corrosion protection of iron and steel. These systems exhibit passive behavior in alkaline solutions due to the formation of a zinc oxide/hydroxide passive film. 1,2 This passive layer possesses some properties similar to that of the nonstoichiometric ZnO, $^{3-6}$ which is an n-type semiconductor with a large optical bandgap energy of approximately 3.2 eV. 7,8

This nonstoichiometric ZnO is well known as a photoelectrochemical electrode. On illumination of the anodically biased ZnO with sufficiently energetic photons, electrons are promoted from the valence to the conduction band generating electron-hole pairs. The holes are transported to the film-solution interface where they undergo an electrochemical reaction, either oxidizing suitable chemical species added to the electrolyte, or causing photodissolution of ZnO. ⁹⁻¹¹ Indeed, this photoinduced dissolution of ZnO can be used for micropattern processing. ^{12,13}

However, the influence of illumination on the passive and corrosion behavior of pure zinc or zinc-rich electrodes or coatings is less well documented, with only a few reports appearing in the literature. For example, Juzeliunas *et al.*¹⁴ reported an increase in the corrosion rate of zinc in a 5% NaCl solution on illumination at 488 nm. This increase in the corrosion rate was associated with a light-induced increase in the rate of the oxygen reduction reaction, but no changes in the anodic polarization behavior were observed. Spathis and Poulios¹⁵ observed the photocorrosion of zinc and zinc oxide coatings in a 3.5 % NaCl solution on illumination with white light.

In this communication the results of an investigation into the influence of ultraviolet (UV) illumination on the passive behavior of Zn in alkaline and slightly alkaline solutions are presented.

Experimental

Test electrodes were prepared from high purity zinc rods (99.999+%). Electrical contact with the zinc rod samples was achieved by means of a copper wire threaded into the base of the metal sample. This entire unit was then sealed in a Teflon holder, and the exposed surface of the zinc rod was set with epoxy resin in the Teflon sleeve. Prior to each test the samples were polished with successively finer grades of SiC paper to a smooth surface finish and rinsed with distilled water. In the case of the Mott-Schottky experiments the electrodes were polished to a mirror finish using alumina powder. The electrochemical cell was made of Teflon with a quartz window in the base to allow irradiation of the test electrodes. High-density graphite rods were used as the auxiliary electrodes and a saturated calomel electrode (SCE) was used as the reference electrode. All potentials quoted are relative to this electrode. The electrolytes were prepared using analytical grade reagents and distilled water.

The electrolytes used were an alkaline pH 13.0 borate solution (0.1 mol dm $^{-3}$ NaOH/ 0.025 mol dm $^{-3}$ Na₂B₄O₇) and a slightly alkaline, pH 10.3 (0.042 mol dm $^{-3}$ NaOH/0.025 mol dm $^{-3}$ Na₂B₄O₇) and pH 9.2, borate electrolyte (0.001 mol dm $^{-3}$ NaOH/0.025 mol dm $^{-3}$ NaOH/0.025 mol dm $^{-3}$ NaOH/0.025 mol dm $^{-3}$ Na₂B₄O₇).

A 300 W xenon arc lamp (Oriel model 6258) was used as the illumination source. The light was passed through a water cooler to remove infrared radiation. The beam was then passed through a series of filters, lens, and mirrors and focused on the stage to illuminate the entire surface of exposed electrode. The intensity of the light entering the cell was measured as 250 mW/cm² for unfiltered irradiation using a Spectra-Physics CW laser power meter model 407A. A maximum temperature rise of 1.9°C was recorded over a 30 min illumination period with this high intensity polychromatic illumination procedure.

Potentiodynamic electrochemical experiments were carried out using an EG&G potentiostat model 263. The working electrodes were polarized from the corrosion potential at a scan rate of 0.5 mV s $^{-1}$ in the anodic direction, following an initial delay period of 1.3×10^3 s under open-circuit conditions. Impedance measurements were recorded using a Solartron 1250 frequency response analyzer and an EI 1287 electrochemical interface. The spectra were recorded at some applied potential. An excitation voltage of 10 mV was used. All impedance data were fit to appropriate equivalent circuits using a complex nonlinear least squares fitting routine, using both the real and imaginary components of the data. Mott-Schottky analyses were performed by measuring the capacitance as a function of potential at 1 kHz using an excitation voltage of 10 mV and a sweep rate of $0.01~{\rm V~s^{-1}}$. Capacitance-voltage data were recorded using a 10 mV excitation voltage and a sweep rate of $5~{\rm mV~s^{-1}}$.

Results

Photoinduced dissolution.—The polarization behavior of zinc in alkaline pH 13.0 and 9.2 solutions under continuous conditions of illumination and nonillumination is shown in Fig. 1. These measurements were performed by first allowing the zinc electrode to stabilize in the solution for 1.3×10^3 s and then polarizing the electrode in the dark or under continuous illumination from the corrosion potential. These data are somewhat different to those reported in other communications^{2,6} as a prereduction step was not employed. Thus, the high anodic currents normally observed in the potential region of -1.2 V(SCE)are not seen here. The polarization plots obtained under dark conditions are characterized by passive regions in the potential intervals of -600 mV(SCE) to about 1.0 V(SCE). However, the passive current densities are close to an order of magnitude higher in the more alkaline pH 13.0 solution. At potentials more anodic than about 1.2 V, oxygen evolution occurs giving rise to the relatively sharp increase in current. It can be seen from these data that continuous illumination gives rise to larger anodic currents in both solutions, however the photoin-

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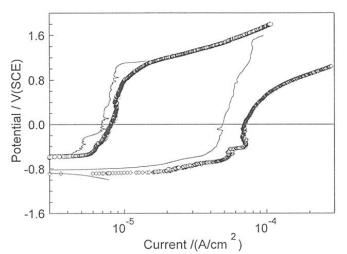
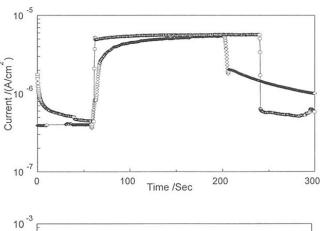


Figure 1. Anodic polarization plots recorded for Zn under (—) (low current densities) dark and (○) illumination conditions in pH 9.2 solution, (—) (high current densities) dark and (◇) illumination conditions in pH 13.0 solution.

duced currents are greater in the pH 13.0 solution. It is also evident from these plots that the photoinduced currents are higher at higher applied potentials. This is clearly evident for the data obtained in the alkaline pH 13.0 solution.

In Fig. 2a, the influence of a light stimulus on the anodic behavior of zinc in the alkaline pH 9.2 and 10.3 solutions is shown while similar data recorded over different time periods are shown in Fig. 2b for the alkaline pH 13.0 solution. In these tests the electrodes were polarized at 800 mV(SCE) in the alkaline solutions. In the case



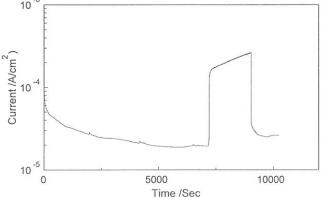
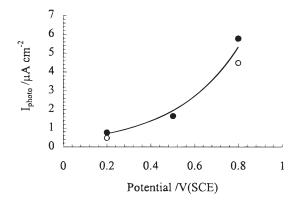


Figure 2. Potentiostatic current-time plots recorded for Zn in (a, top) pH 9.2 (○) and pH 10.3 (◇) electrolytes; (b, bottom) pH 13.0 electrolyte; light turned on at point where current increases sharply and removed at point where current decreases sharply.

of the pH 9.2 and 10.3 solutions the surface was illuminated after a total passivation period of 15.5 h, but only the data recorded close to the illumination period are shown and are scaled with respect to zero time. Illumination was continued for a further period of approximately 180 s, at which point the light was removed. Within a few seconds of illumination, a sharp increase in the current was observed, and once the light stimulus was removed the surface repassivated, Fig. 2a. However, it can be seen, particularly in the case of the pH 10.3 solution, that the passive current does not return to the exact value prior to illumination, suggesting some change in the passive behavior of the electrode. The data shown in Fig. 2b for the pH 13.0 electrolyte were recorded after a 2 h passivation period. Again, on illumination of the surface a sharp increase in the anodic current was observed. In this case, the current continued to increase during the illumination period, signifying increasingly intense dissolution. Furthermore there was a difference of about 5 μA cm⁻² in the current before and following the illumination period. This tends to suggest that the intense dissolution observed during the 30 min illumination period leads to some degree of surface roughening and a change in the surface area. It can be seen from a comparison of Fig. 2a and b that the photocurrents observed under these strong alkaline conditions are considerably higher than those recorded in the pH 9.2 and 10.3 solutions, suggesting considerably higher photoinduced dissolution of the zinc electrode in the alkaline pH 13.0 solution.

The steady-state photocurrents measured as a function of the passivation potential for Zn polarized in the pH 9.2 and 10.3 solutions are shown in Fig. 3a. These data were recorded by measuring the steady-state photo currents (obtained after approximately 120 s) following a 15.5 h illumination period at different polarization potentials. It can be seen, in agreement with the anodic polarization data, that the anodic photocurrents are significantly higher under high anodic bias, and that there is very little difference in the magnitude of the photocurrents in the pH 10.3 and 9.2 solutions. In Fig. 3b, the steady-state anodic photocurrents recorded at 800 mV(SCE) follow-



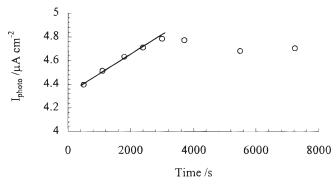


Figure 3. (a, top) Steady-state photocurrent plotted as a function of the formation potential for Zn polarized in pH 9.2 (\bullet) and pH 10.3 (\bigcirc) solutions. (b, bottom) Steady-state photocurrent as a function of the formation time for Zn polarized at +800 mV(SCE) in pH 9.2 solution.

ing different passivation periods are shown for the pH 9.2 solution. Here, it is seen that the anodic photocurrents increase linearly with the passivation period for the first 40 min of polarization. This is consistent with the growth of the passive film and the formation of the semiconducting ZnO phase during the early stages of polarization.

In Fig. 4, 5, and 6 impedance data recorded for zinc in the pH 10.3, 13.0, and 9.2 solutions during polarization at 800 mV(SCE) under conditions of illumination and nonillumination are shown. In these plots the symbols represent the experimental data, while the solid lines represent the theoretical data generated using the circuits depicted in these figures. In Fig. 4a the impedance data are shown for the pH 10.3 solution under three different sets of conditions. In these cases, the electrodes were first polarized at 800 mV(SCE) for 15 h in the dark to ensure the formation of a stable passive layer, then the impedance data were recorded at 800 mV(SCE) in the dark. The surface was then illuminated, and the impedance data were recorded during the illumination conditions. The light source was then removed and the impedance data were recorded again under dark conditions. It can be seen in this case that illumination of the surface has a significant effect on the impedance data, decreasing the impedance at low frequencies, and altering the capacitive region. On remeasuring the impedance data after the illumination period has elapsed, the data obtained, although slightly different from those originally recorded under dark conditions, show evidence of the system returning to its passive state.

As evident in Fig. 4b two different equivalent circuits, one for dark conditions and one for light conditions, were used to fit the impedance data. Here, R represents resistance elements, with $R_{\rm s}$ representing the solution resistance and $R_{\rm CT}$ representing the charge-transfer resistance while Q_1 and Q_2 are constant phase elements.

Phase Angle /deg

10³

10³

10³

10³

10³

10³

10²

10¹

10³

10²

10¹

10³

10²

10¹

10³

Frequency (Hz)

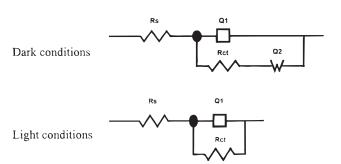
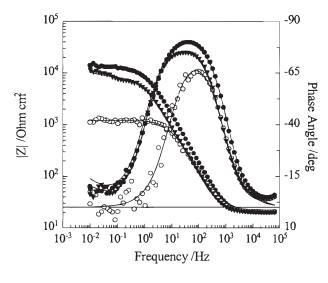


Figure 4. (a, top) Impedance data recorded under initial dark conditions (●), followed by continuous illumination conditions (○), followed by dark conditions (▼) for Zn polarized at 800 mV(SCE) in pH 10.3. (b) Equivalent circuits used in the fitting of impedance data.

Very good agreement between the experimental data and the theoretical fitted data was obtained when these frequency-dependent constant-phase elements (CPE) were used as opposed to using pure capacitance and Warburg impedances. The impedance of a constantphase element, is defined as $Z_{\text{CPE}} = [Q(j\omega)^n]^{-1}$ where $-1 \le n \le 1.16$ Depending on the value of n, then the constant-phase element may represent a capacitor if n is unity, or a Warburg diffusion element if n is 0.5. In the case of the data recorded in the dark, Fig. 4b, Q_1 , with an n value close to unity, represents the capacitance of the passive film, which may be taken to be equivalent to the capacitance of the space-charge layer. Q_2 , with an n value close to 0.5, indicates a Warburg diffusion term, and represents the diffusional processes associated with the passive film. In this circuit analysis a separate series RC combination representing the Helmholtz layer was not used, in order to minimize the number of circuit elements, but instead is incorporated in the $Q_1R_{\rm CT}$ couple. But, because the Helmholtz capacitance is an order of magnitude higher than the passive film capacitance, then Q_1 may be taken to represent the capacitance of the passive film. There was no evidence of any diffusion process for the specimens polarized under continuous illumination conditions. In this case, a simple parallel RC circuit, with the *n* value for Q_1 close to unity, was used to fit the data. This indicates that under illumination conditions a faradaic reaction takes place with an impedance for charge-transfer of $R_{\rm CT}$.

A similar photoinduced dissolution effect can be seen for the data recorded in the pH 13.0 and 9.2 solutions, Fig. 5 and 6, respectively. These data were recorded following a passivation period of 15 h for the pH 9.2 solution and 2 h for the pH 13.0 solution. These different time periods were used because longer passivation periods in the pH 13.0 solution lead to destabilization of the passive layer. Again, the first impedance measurements were made under dark conditions, the



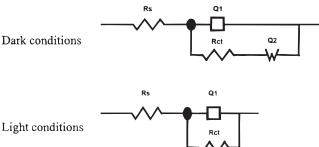
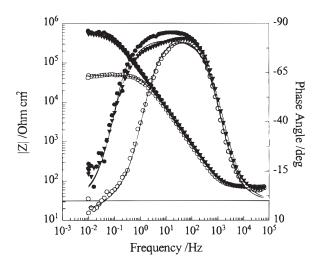


Figure 5. (a, top) Impedance data recorded under initial dark conditions (\bullet), followed by continuous illumination conditions (\bigcirc), followed by dark conditions (\blacktriangledown) for Zn polarized at 800 mV(SCE) in pH 13.0. (b) Equivalent circuits used in the fitting of impedance data.



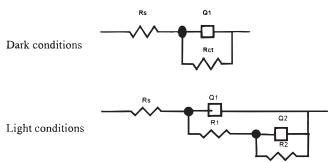


Figure 6. (a, top) Impedance data recorded under initial dark conditions (\bigcirc), followed by continuous illumination conditions (\bigcirc), followed by dark conditions (\blacktriangledown) for Zn polarized at 800 mV(SCE) in pH 9.2. (b) Equivalent circuits used in the fitting of impedance data.

surface was then illuminated and the impedance measured, and finally the light was removed and the impedance was remeasured under dark conditions. The equivalent circuits used in the fitting of these data are shown for the pH 13.0 and 9.2 solutions in Fig. 5b and 6b, respectively. For the pH 13.0 solution, a simple parallel RC circuit was used to fit the data recorded under illumination conditions, while the diffusion element, Q_2 was required for fitting of the data recorded under dark conditions. A simple RC circuit was used to fit the data recorded in the pH 9.2 solution under dark conditions. But a more complex circuit, to account for the inductive behavior observed at low frequencies, was required to fit the data recorded under illumination conditions. Here a negative capacitor, Q_2 , and a negative resistance element, R_2 were used. This circuit is based on a model used by Franceschetti and Macdonald, 17 Armstrong, 18 and Pettersson and Pound, ¹⁹ where a negative resistance, R_2 , and capacitance C_2 is used to model the inductive behavior as opposed to using very high inductances. This inductive behavior was observed only under illumination at high anodic potentials in this pH 9.2 solution and always disappeared once the light stimulus was removed, Fig. 6a. The actual significance of this inductance is not clear, but it is consistent with the

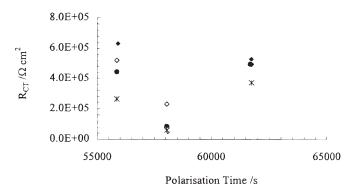


Figure 7. Charge-transfer resistance, $R_{\rm CT}$, plotted as a function of initial dark conditions, followed by illumination conditions, followed by final dark conditions for Zn polarized in pH 9.2 solution at 800 mV(SCE) (\spadesuit), 500 mV(SCE) (\diamondsuit), and 200 mV(SCE) (\blacksquare), and in pH 10.3 solution at 800 mV(SCE) (\bigstar).

oxidation current being impeded by an inductive current flowing in the opposite direction at the electrode/electrolyte interface. This may be associated with the formation and precipitation of a zinc-hydroxy layer, which can occur under these photoinduced dissolution conditions. However, as this inductive effect was seen only under this set of conditions it was explored no further.

The values for some of the common fitted parameters are shown in Table I. For the pH 9.2 solution under illumination conditions, $R_{\rm CT}=R_1+R_2$, where R_2 is $-6.4\times 10^3~\Omega$ cm². It can be seen from this table that illumination decreases $R_{\rm CT}$ and leads to an increase in the capacitance, Q_1 . These changes are very pronounced in the alkaline pH 13.0 solution where $R_{\rm CT}$ under illumination conditions is only of the order of 1 k Ω cm². It is also clear from these data that polarization of zinc in the pH 9.2 and 10.3 solutions leads to the formation of more stable passive films compared to that in the pH 13.0 solution. This can be seen from both the charge-transfer resistance and double-layer capacitance values, Table I.

In Fig. 7 the charge-transfer resistance is plotted as a function of time for Zn polarized at potentials of 200, 500, and 800 mV(SCE) in the pH 9.2 solution and at 800 mV(SCE) in the 10.3 solution. The points plotted at 56×10^3 s represent the data collected under dark conditions. The points plotted at 58×10^3 s represent the data collected under illumination conditions, while the data plotted at 62×10^3 s were obtained from the impedance data measured under dark conditions, but following the illumination period. These data illustrate, very clearly, that illumination results in a decrease in the charge-transfer resistance and that once the light source is removed that the charge-transfer resistance is restored to values comparable to those under the original dark conditions.

In order to gain information on the thickness of the passive layers formed in the presence and absence of illumination, capacitance measurements were performed on zinc polarized under dark and illumination conditions. These data were obtained by polarizing zinc at a scan rate of 5 mV s⁻¹ from the open-circuit potential up to the potential where transpassive dissolution occurs. A 10 mV perturbation signal at 1 kHz was superimposed on this positive potential sweep and the imaginary impedance, from which the capacitance is calculated, measured as a function of the applied potential. Assuming

Table I. $R_{\rm CT}$, Q_1 , and n values calculated from impedance data for Zn polarized in pH 9.2, 10.3, and 13.0 solutions under conditions of illumination and nonillumination.

Parameter	pH 9.2 solution		pH 10.3 solution		pH 13.0 solution	
	Dark	Light	Dark	Light	Dark	Light
$R_{\mathrm{CT}}/\Omega \mathrm{~cm^2}$ $Q_1/\mu\mathrm{F~cm^{-2}}$ n	6.31×10^5 2.68 0.95	4.30×10^4 3.07 0.94	$ 2.65 \times 10^{5} \\ 5.25 \\ 0.92 $	5.94×10^4 5.60 0.91	1.20×10^4 12.7 0.93	1.30×10^{3} 24.7 0.88

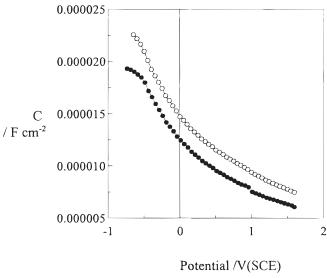


Figure 8. Capacitance plotted as a function of potential for Zn polarized in pH 13.0 solution under conditions of illumination (○) and nonillumination (●).

that the passive layer behaves as a dielectric then the measured capacitance is proportional to the thickness of the passive layer, Eq. 1

$$d = \frac{\epsilon \epsilon_0 A}{C}$$
 [1]

Here, d is the thickness of the passive layer, $\epsilon_{\rm o}$ is the permittivity of free space, and ϵ is the dielectric constant of the passive layer (8.5 for ZnO 20,21). In Fig. 8, the capacitance measured as a function of applied potential for zinc polarized in the pH 13.0 solution in the dark and under illumination conditions is shown. It should be noted that these potentiodynamic conditions will not lead to the achievement of a steady state in the thickness of the passive films, however it is clear from these data that illumination of the surface results in a decrease in the thickness of the passive layers formed. Similar, data were obtained in the pH 9.2 and 10.3 solutions.

Semiconducting properties.—In order to obtain information on the semiconducting properties of the films exposed to intermittent illumination, Mott-Schottky analyses were carried out for passive films formed in the pH 13.0, 10.3, and 9.2 solutions. In Fig. 9, representative Mott-Schottky plots recorded under dark conditions, for specimens previously exposed to continuous dark conditions and an intermittent illumination period are shown for zinc polarized in the pH 9.2 solution. In these measurements, a passive film was first formed at 800 mV(SCE) in the alkaline solution. In the case of the dark experiments, the passive film was formed under dark conditions for 17 h and then the Mott-Schottky data were recorded. In the case of the illumination experiments, the electrode was first passivated for 15.5 h under dark conditions, then illuminated for a 30 min period and finally polarized for a further 1 h period in the dark. In the measurements, the potential was swept from the formation potential [800 mV(SCE)] at a sweep rate of 0.01 V s^{-1} in the negative direction. Identical data were obtained on reversing the sweep back to the original formation volt-

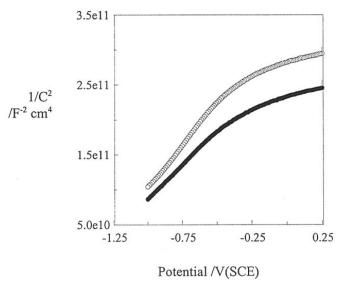


Figure 9. Mott-Schottky plots for Zn polarized in pH 9.2 solution following prior illumination (\bigcirc) and dark conditions (\bigcirc) .

age and with different scan rates. This shows that the film thickness and the donor densities remain constant throughout the experiment. The total capacitance, C, which consists of contributions from the space-charge capacitance and the Helmholtz capacitance, was calculated from the impedance data. In this case, the space-charge capacitance was calculated by correcting this measured capacitance data for Helmholtz contributions using a conservative value of $20~\mu F~cm^{-2}$ for the Helmholtz capacitance. The potential dependence of $1/C_{\rm sc}^2$ follows the Mott-Schottky equation 22.23

$$\frac{1}{C_{\rm sc}^2} = \frac{2}{q \epsilon \epsilon_0 N_{\rm D}} \left(E - E_{\rm FB} - \frac{kT}{q} \right)$$
 [2]

where $N_{\rm D}$ is the donor density, $E_{\rm FB}$ is the flatband potential, ϵ is the dielectric constant of the semiconductor film (*i.e.* 8.5 for ZnO 20,21), ϵ_0 is the permittivity of free space and q is the electronic charge. The Mott-Shottky plots shown in Fig. 9, exhibit well-defined linear portions over a relatively wide potential region, and are characteristic of those for an n-type semiconductor, in agreement with other publications.^{2,6} The donor densities, calculated from the slopes of the linear regions of the plot were found to be about $1.0 \pm 0.2 \times 10^{20} \, \mathrm{cm}^{-3}$ for the electrodes under continuous dark conditions and 7.5 \pm 2.0 \times 10^{19} cm⁻³ for the electrodes exposed to prior illumination. The flatband potentials were calculated as -1.42 ± 0.30 V(SCE) for the illuminated electrode and -1.38 ± 0.30 V(SCE) for the dark electrode. The donor densities calculated here are in good agreement with those calculated previously^{2,6} which range between 1×10^{20} and 3×10^{20} cm⁻³. Similar photoinduced modifications in the donor densities were found for the other electrolytes. These results are summarized in Table II. Here it can be seen that although the decrease in the donor densities is not far removed from the experimental error, $\pm 0.2 \times 10^{20}~\text{cm}^{-3}$, that consistently lower donor densities of the consistent of the consistent of the consistency of the consisten sities are found for the electrodes, regardless of the pH of the solution, subjected to illumination conditions. The relatively high donor

Table II. $N_{\rm D}$ and $E_{\rm FB}$ calculated from Mott-Schottky analyses for Zn polarized in pH 9.2, 10.3, and 13.0 solutions under conditions of prior illumination and nonillumination.

Parameter	pH 9.2 solution		pH 10.3 solution		pH 13.0 solution	
	Dark	Light	Dark	Light	Dark	Light
$N_{\rm D}/10^{20} { m cm}^{-3}$ $E_{\rm FB}/{ m mV(SCE)}$	1.0 -1380	0.75 -1420	4.0 -1100	1.6 -1160	7.3 -760	5.2 -800

densities obtained for the alkaline pH 13.0 solution reflect the greater defect structure of the films formed in this alkaline solution. The flatband potentials tend to become more negative following illumination. This is probably due to the photoinduced corrosion of the surface and is consistent with the reports of Dewald²⁴ who showed that the flatband potentials varied with time, becoming more negative, after etching of ZnO in $\rm H_3PO_4$. This gradual change was attributed to slow dissolution or corrosion of the surface leading to a new surface condition. The values of the flatband potentials in the pH 13.0 solutions agree well with those of Bohe *et al.*⁶ However, the values calculated for the other solutions in which the electrodes were prepassivated for 17 h are considerably more negative than those reported by Bohe *et al.*⁶ This seems to be associated with the longer passivation periods used in this study.

A plot of the donor densities as a function of the formation potential for zinc polarized under dark conditions and subjected to the previously described intermittent illumination conditions in the pH 9.2 solution is shown in Fig. 10. It can be seen from this figure that the donor densities decrease with an increase in the formation potential, in agreement with other reports, but, again it is seen, that at each formation potential, prior illumination leads to a decrease in the donor densities.

Discussion

The experimental results presented here show that dissolution of the passive film on zinc occurs under illumination conditions. This can be seen clearly from the anodic polarization data presented in Fig. 1, the current-time transient data presented in Fig. 2 and the complex impedance data presented in Fig. 4, 5, and 6. These photoinduced dissolution effects can be explained in terms of the photoinduced dissolution of ZnO which is well known to be present in the passive films grown on polycrystalline Zn. ^{25,26} It is well known that ZnO is a highly-doped n-type defect semiconductor with the principal defect being the zinc interstitial.^{2,6} On illumination of ZnO with sufficiently energetic photons, electrons are promoted from the valence to the conduction band, generating electron-hole pairs. These electron-hole pairs separate due to band bending. The holes are transported to the film-solution interface where they undergo an electrochemical reaction with some suitable redox species. In the absence of any suitable redox species in solution, as in this study, the thermodynamically favored reaction of the generated holes is the reaction with ZnO leading to the photodecomposition of ZnO 9

$$ZnO + 2p^{+} + nOH^{-} \rightarrow Zn(OH)_{n}^{(2-n)} + \frac{1}{2}O_{2}$$
 [3]

The nature of these soluble corrosion species $Zn(OH)_n^{(2-n)}$ will depend on the pH of the solution. In the case of these alkaline solutions the likely corrosion products²⁷ are $Zn(OH)_4^{(2-n)}$ or $Zn(OH)_3^{(3-n)}$. But, in any case, this photoinduced dissolution leads to the destruction of the anodically formed passive film.

It can be seen from the capacitance-voltage data presented in Fig. 8 that this photoinduced dissolution is accompanied by a decrease in the oxide film thickness, as expected. Indeed, this can be seen further from the capacitance data presented in Table I. These capacitance data, in the case of the pH 9.2 and 10.3 solutions, were measured after a 15 h period and thus reflect steady-state conditions. Using the well-known parallel plate expression for the capacitance, C, to estimate the thickness of the passive layer, Eq. 1, it can be seen that in all cases lower values of film thickness are estimated for the illuminated specimens. This is consistent with the photodecomposition of the passive layer and a resulting decrease in the thickness of the passive layer.

The enhanced dissolution in the pH 9.2 and 10.3 solutions can be explained in terms of this photoinduced decomposition of the passive layer. The increase in the photocurrents with higher anodic bias, Fig. 3a, is consistent with the rise in the concentration of surface holes, which increases with increasing surface potential barrier. However, in the case of the pH 13.0 solution, the photocurrents in the region of 20 μA cm $^{-2}$ and increasing to in excess of 30 μA

cm⁻² over a 30 min illumination period, Fig. 2b, are not consistent with simply a photoinduced dissolution reaction. It can be seen from Fig. 3b that the photocurrents increase with increasing film formation period, as expected, due to the growth of the oxide and increase in the width of the space-charge layer. However, in the case of the pH 13.0 solution, the increasing anodic photocurrent combined with the relatively low thickness of the passive film in this solution (the capacitance being of the order of 25 μF cm⁻²), strongly point to a photoinduced dissolution reaction accompanied by an electrochemical dark anodic reaction. The very thin oxide, which exists under these illumination conditions, is not sufficiently protective to enable passivation of the electrode and an accompanying dark current flows. In addition, the oxide formed, through a dissolution-repassivation process, in this pH 13.0 solution is more porous in nature than those formed in the less alkaline solutions. This may also contribute to the enhanced dissolution of the passive layers formed in this solution on illumination. Indeed, when the light is switched off it takes some time for the electrode to repassivate in the pH 13.0 solution and to a lesser extent in the pH 10.3 solution. This pH dependence on the dissolution rate is in agreement with the data of Futsuhara et al. 13 These authors found, by monitoring the dissolution rate of ZnO thin films using the zinc content in the film before and after the photodissolution reaction, that the optimum pH, in the interval 7.0 to 12.0, for the photodissolution of ZnO was 12.0.

Although this photoinduced dissolution reaction only occurs while the specimens are illuminated, there is some evidence to suggest that the prior illumination procedure leads to some modifications of the passive layers. This is evident from the Mott-Schotty analyses, Fig. 9 and 10, where prior illumination of the specimens is seen to give rise to a decrease in the donor densities. As these tests were recorded following a further hour after the photoinduced dissolution process, to ensure the complete repassivation of the electrode and to ensure similar oxide film thickness, it seems that the photoinduced excitation of the ZnO semiconductor and/or the dissolution/repassivation events induced by the illumination procedure leads to a true decrease in the defect structure. The only other parameter that may affect the validity of these results are slight changes in the actual surface area following the illumination procedure. However if such a change in the surface area did occur then the true donor densities observed under illumination would in fact be even smaller that the values quoted here.

Conclusions

Significant dissolution of anodically formed passive layers on zinc was observed in alkaline pH 9.2, 10.3, and 13.0 solutions on illumination with polychromatic light. This was attributed to the photodecomposition of ZnO, which occurs through reaction with surface holes generated during the illumination period. This photoinduced dissolution effect only persisted while the electrodes were

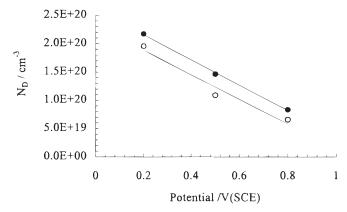


Figure 10. Donor densities plotted as a function of the formation potential for Zn polarized in pH 9.2 solution under conditions of prior illumination (○) and dark conditions (●).

illuminated. However, prior illumination of the anodic passive films gave rise to a decrease in the donor densities.

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