

# LOCALNESS OF $A(\Psi)$ ALGEBRAS

By

G.R. ALLAN

DPMMS, 16 Mill Lane, Cambridge CB21SB, England

G. KAKIKO

Department of Mathematics, University of Dar-es-Salaam, Dar-es-Salaam, Tanzania

A.G. O'FARRELL AND R.O. WATSON

Department of Mathematics, NUI Maynooth, Co. Kildare, Ireland

## ABSTRACT

Let  $d$  and  $r$  be positive integers. Given  $\Psi = (\psi_1, \dots, \psi_r) \in C^\infty(\mathbf{R}^d, \mathbf{R}^r)$ , we consider the unital algebra  $\mathbf{R}[\Psi] = \mathbf{R}[\psi_1, \dots, \psi_r]$  generated by  $\{\psi_1, \dots, \psi_r\}$ , and its closure  $A(\Psi)$  in  $C^\infty$  topology.

We identify the space of closed maximal ideals of  $A(\Psi)$ , we establish that it is a regular algebra, and we show that the approximation problem, to provide an explicit description of  $\Psi$ , is local to the level sets of  $\Psi$ .

## 1. Introduction.

Let  $d$  and  $r$  be positive integers, throughout. Given  $\Psi = (\psi_1, \dots, \psi_r) \in C^\infty(\mathbf{R}^d, \mathbf{R}^r)$ , we consider the unital algebra  $\mathbf{R}[\Psi] = \mathbf{R}[\psi_1, \dots, \psi_r]$  generated by  $\{\psi_1, \dots, \psi_r\}$ , and its closure  $A(\Psi)$  in  $C^\infty$  topology.

We recall that  $A(\Psi)$  is also the closure of the algebra

$$C^\infty(\Psi) = \{g \circ \Psi : g \in C^\infty(\mathbf{R}^r, \mathbf{R})\}$$

(cf. [1,2] for background).

This paper is about the properties of  $A(\Psi)$  *qua* Fréchet algebra, and the approximation problem: describe  $A(\Psi)$  explicitly.

We identify the space of closed maximal ideals of  $A(\Psi)$ , we establish that it is a regular algebra, and we show that the approximation problem is local to the level sets of  $\Psi$ .

## 2. Associated Topologies.

We shall only use the Euclidean topology on  $\mathbf{R}^r$ , but we need to consider some other, *a priori* distinct topologies on  $\mathbf{R}^d$ .

**Definition.** We define the  $\Psi$ -*hull-kernel topology* on  $\mathbf{R}^d$  as that corresponding to the Kuratowski closure operation

$$E \mapsto \text{HK}(E) = \{b \in \mathbf{R}^d : f(b) = 0 \text{ whenever } f \in A(\Psi) \text{ and } f|_E = 0\}.$$

*Remark.* This is in general finer than the pull-back topology

$$\{\Psi^{-1}(U) : U \text{ open in } \mathbf{R}^r\}.$$

It may happen that  $\text{clos}\Psi(E) \cap \text{clos}\Psi(F) \neq \emptyset$  for disjoint  $\Psi$ -hull-kernel closed sets  $E, F$ .

We abbreviate  $\Psi$ -hull-kernel topology to HK-topology when convenient. We denote the HK-closure of a set  $E$  by  $\text{HK}(E)$ . This is consistent with the following (more-or-less

standard) notation:

$$H(F) = \{a \in \mathbf{R}^d : f(a) = 0, \forall f \in F\}, \forall F \subset A(\Psi);$$

$$K(E) = \{f \in A(\Psi) : f(a) = 0, \forall a \in E\}, \forall E \subset \mathbf{R}^d.$$

Note that

$$HKH(F) = H(F), \forall F \subset A(\Psi),$$

$$KHK(E) = K(E), \forall E \subset \mathbf{R}^d.$$

In particular,  $H(F)$  is HK-closed, for each  $F \subset A(\Psi)$ .

We note that each HK-closed set is a union of level sets of  $\Psi$ , that  $\text{HK}(\{a\}) = \Psi^{-1}(\Psi(a))$  (the level set through the point  $a$ ), and that the minimal nonempty HK-closed sets are these level sets of  $\Psi$ . We abbreviate  $\text{HK}(\{a\})$  to  $\text{HK}(a)$ .

**Definition.** We define the  $\Psi$ -weak-star topology on  $\mathbf{R}^d$  as the pull-back topology corresponding to the weak-star topology on the dual  $A(\Psi)^*$  and the natural injection of  $\mathbf{R}^d$  into  $A(\Psi)^*$ .

In other words, the set  $N$  is a weak-star neighbourhood of the point  $a \in \mathbf{R}^d$  if and only if there exist a finite number of functions  $f_1, \dots, f_n$  belonging to  $A(\Psi)$ , such that

$$\{x \in \mathbf{R}^d : |f_j(x) - f_j(a)| < 1, \forall j\} \subset N.$$

Since we are dealing here with real-valued functions, it is evident that it makes no difference if we insist that  $n$  always equal 1. In fact, it is easy to see that the set  $N$  is a weak-star neighbourhood of the point  $a \in \mathbf{R}^d$  if and only if there exists a function  $f \in A(\Psi)$ , such that  $f(a) = 0$  and

$$\{x \in \mathbf{R}^d : f(x) < 1\} \subset N.$$

We abbreviate  $\Psi$ -weak-star topology to WS-topology, when convenient.

Unqualified topological terms (open, closed, ...) refer to the Euclidean topology. It is clear that the WS-topology is at least as fine as the HK-topology, and the Euclidean topology is at least as fine as the WS-topology. We shall discover more below.

We denote the closed ball with centre  $x \in \mathbf{R}^d$  and radius  $r \geq 0$  by  $\mathbf{B}(x, r)$ , and the corresponding open ball by  $\mathbf{U}(x, r)$ .

**Proposition 1.** *Let  $\Psi \in C^\infty(\mathbf{R}^d, \mathbf{R}^r)$ . Suppose  $E$  and  $F$  are disjoint closed subsets of  $\mathbf{R}^d$ ,  $\Psi^{-1}\Psi(E) = E$ , and  $\Psi^{-1}\Psi(F) = F$ . Then there exists  $f \in A(\Psi)$  such that  $f = 1$  on  $E$  and  $f = 0$  on  $F$ .*

PROOF. Let  $E$  and  $F$  be as in the hypothesis. Define

$$B_n = \mathbf{B}(0, n), \quad B'_n = \Psi(B_n),$$

$$E_n = E \cap B_n, \quad E'_n = \Psi(E_n),$$

$$F_n = F \cap B_n, \quad F'_n = \Psi(F_n),$$

$$H_n = B_{n-1} \cup E_n \cup F_n, \quad H'_n = \Psi(H_n),$$

We observe that  $E'_n, F'_n$ , and  $H'_n$  are compact subsets of  $\mathbf{R}^r$ , and

$$E'_n \cap F'_n = \emptyset,$$

$$E_{n+1} \cap B_n = E_n,$$

$$E'_{n+1} \cap B'_n = E'_n, \quad (\text{because } E = \Psi^{-1}\Psi(E))$$

$$F'_{n+1} \cap B'_n = F'_n.$$

Choose  $g_1 \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that  $g_1 = 1$  near  $E'_1$  and  $g_1 = 0$  near  $F'_1$ .

Consider the function  $g_2 : H'_2 \rightarrow \mathbf{R}$  given by

$$g_2(x) = \begin{cases} g_1(x), & x \in B'_1, \\ 1, & x \in E'_2, \\ 0, & x \in F'_2, \end{cases}$$

This is well-defined, since  $g_1 = 1$  on  $B'_1 \cap E'_2$  and  $g_1 = 0$  on  $B'_1 \cap F'_2$ . Moreover, each point of  $H'_2$  has a neighbourhood to which  $g_2$  has a  $C^\infty$  extension; indeed one of  $g_1$ , 1 or 0 will do as the extension. Since the existence of a global  $C^\infty$  extension is a local property, it follows that  $g_2$  has an extension in  $C^\infty(\mathbf{R}^r, \mathbf{R})$ , and we denote such an extension by the same symbol,  $g_2$ . We may choose  $g_2$  so that it is 1 near  $E'_2$  and 0 near  $F'_2$ .

Continuing in this way, we find  $g_{n+1} \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that

$$g_{n+1}|_{B'_n} = g_n|_{B'_n},$$

$g_{n+1} = 1$  near  $E'_{n+1}$  and  $g_{n+1} = 0$  near  $F'_{n+1}$ .

Define  $f : \mathbf{R}^d \rightarrow \mathbf{R}$  by setting

$$f|_{B_n} = g_n \circ \Psi|_{B_n}, \forall n.$$

Evidently,  $f$  is well-defined,  $f \in C^\infty(\mathbf{R}^d, \mathbf{R})$ , and  $g_n \circ \Psi \rightarrow f$  in  $C^\infty$  topology as  $n \uparrow +\infty$ .

Thus  $f \in A(\Psi)$ . Finally, it is clear that  $f = 1$  on  $E$  and  $f = 0$  on  $F$ , so we are done. ■

**Corollary 2.** *Suppose  $E \subset \mathbf{R}^d$ . Then  $E$  is HK-closed if and only if  $E$  is closed and  $\Psi^{-1}\Psi(E) = E$ .*

PROOF. The ‘only if’ part is obvious. For the converse, suppose that  $E$  is closed and  $\Psi^{-1}\Psi(E) = E$ . Let  $a \notin E$ . Then  $F = \Psi^{-1}\Psi(a)$  is closed and disjoint from  $E$ , and  $\Psi^{-1}\Psi(F) = F$ . By the Proposition, there exists  $f \in A(\Psi)$  such that  $f|_E = 0$  and  $f|_F = 1$ . Thus  $a \notin \text{HK}(E)$ . This shows that  $\text{HK}(E) \subset E$ . Evidently  $E \subset \text{HK}(E)$ , so  $\text{HK}(E) = E$ , and we are done. ■

**Corollary 3.** *Let  $E \subset \mathbf{R}^d$ . Then  $HK(E)$  is the least set  $F \subset \mathbf{R}^d$  such that  $E \subset F$ ,  $F$  is closed, and  $\Psi^{-1}\Psi(F) \subset F$ . ■*

This fact implies that the HK-closure of a set  $E$  may be obtained by forming  $E_0 = E$ , and proceeding by transfinite induction:

$$E_{\alpha+1} = \text{clos}\Psi^{-1}\Psi(E_\alpha), \forall \text{ ordinals } \alpha,$$

$$E_\alpha = \bigcup_{\beta < \alpha} E_\beta, \forall \text{ limit ordinals } \alpha,$$

until the first ordinal having cardinal greater than the continuum, at the worst.

**Corollary 4.** *Let  $\Psi \in C^\infty(\mathbf{R}^d, \mathbf{R}^r)$ . Then the following three conditions are equivalent:*

- (1)  $\Psi$  is injective.
- (2) The HK-topology is Hausdorff.
- (3) The HK-topology is the same as the Euclidean topology.

PROOF. Obviously (3)  $\Rightarrow$  (2)  $\Rightarrow$  (1). The only delicate point is (1)  $\Rightarrow$  (3), and this is immediate from Corollary 2. ■

Finally, we note that combining Proposition 1 and Corollary 2, we have:

**Corollary 5.** *Let  $E$  and  $F$  be disjoint HK-closed sets. Then there exists  $f \in A(\Psi)$  such that  $f = 1$  on  $E$  and  $f = 0$  on  $F$ . ■*

Now we consider the  $C^\infty$  action and its consequences.

**Proposition 6.** *Let  $h \in C^\infty(\mathbf{R}^r, \mathbf{R}^p)$ . Then the (usually nonlinear) map*

$$h \circ : \begin{cases} C^\infty(\mathbf{R}^d, \mathbf{R}^r) \rightarrow C^\infty(\mathbf{R}^d, \mathbf{R}^p), \\ f \mapsto h \circ f, \end{cases}$$

*is continuous.*

PROOF. This is immediate from Faa di Bruno's formula [4, p.222]. ■

**Corollary 7.**  *$C^\infty(\mathbf{R}, \mathbf{R})$  acts by composition on  $A(\Psi)$ , i.e.  $f \mapsto h \circ f$  maps  $A(\Psi)$  into itself, whenever  $h \in C^\infty(\mathbf{R}, \mathbf{R})$ .*

PROOF. Let  $f \in A(\Psi)$ . Choose  $g_n \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that  $g_n \circ \Psi \rightarrow f$  in  $C^\infty(\mathbf{R}^d, \mathbf{R})$  topology. By the Proposition,

$$(h \circ g_n) \circ \Psi = h \circ (g_n \circ \Psi) \rightarrow h \circ f$$

in  $C^\infty$  topology. Thus  $h \circ f \in A(\Psi)$ . ■

**Corollary 8.** *Let  $h \in C^\infty(\mathbf{R}, \mathbf{R})$ , with  $h(0) = 0$ . Then  $h \circ$  maps each ideal  $I \subset A(\Psi)$  into itself.*

PROOF. We may factorize  $h(x)$  as  $xk(x)$ , where  $k \in C^\infty(\mathbf{R}, \mathbf{R})[\mathbf{T}]$ .

Let  $f \in I$ . Then, using Corollary 2, we get

$$h \circ f = f \cdot (k \circ f) \in f \cdot A(\Psi) \subset I,$$

as required. ■

**Corollary 9.** *Let  $g \in A(\Psi)$ . Then the set*

$$U = \{a \in \mathbf{R}^d : g(a) > 0\}$$

*is HK–open.*

PROOF. Choose  $h \in C^\infty(\mathbf{R}, \mathbf{R})$  such that  $h(x) > 0$  whenever  $x > 0$  and  $h(x) = 0$  whenever  $x \leq 0$ .

By Corollary 7,  $h \circ g \in A(\Psi)$ , and evidently

$$\mathbf{R}^d \sim U = H(\{h \circ g\})$$

is HK–closed. This suffices. ■

**Corollary 10.** *The HK–topology is the same as the WS–topology.*

PROOF. It follows readily from Corollary 9 that the set

$$\{x \in \mathbf{R}^d : f(x) < 1\}$$

is HK–open, whenever  $f \in A(\Psi)$ . Since the sets of this form, corresponding to  $f \in A(\Psi)$  with  $f(a) = 0$ , form a neighbourhood base for the point  $a \in \mathbf{R}^d$ , we conclude that each WS–open set is HK–open, and this suffices. ■

We summarize our characterizations of the HK–topology, adding a useful converse to Corollary 9:



**Theorem 11.** *Let  $U \subset \mathbf{R}^d$ . Then the following are equivalent:*

- (1)  $U$  is HK–open.
- (2)  $U$  is WS–open.
- (3)  $U$  is open and  $\Psi^{-1}\Psi(U) = U$ .
- (4) There exists  $g \in A(\Psi)$  such that

$$U = \{x \in \mathbf{R}^d : g(x) > 0\}.$$

PROOF. In view of Corollaries 2, 9 and 10, it only remains to prove that (1) implies (4).

Let  $F = \mathbf{R}^d \sim U$ .

Given  $n \in \mathbf{N}$ , consider  $B_n = \mathbf{B}(0, n)$  and

$$E_n = \left\{ x \in B_n : \text{dist}(x, F) \geq \frac{1}{n} \right\}.$$

For each  $a \in E_n$ , there exists  $f_a \in A(\Psi)$  such that  $f_a(a) = 1$  and  $f_a = 0$  on  $F$ . Thus the set

$$N_a = \{x \in \mathbf{R}^d : f_a(x) > 0\}$$

is a HK–neighbourhood of  $a$ . Since  $E_n$  is compact, we may choose  $a_1, a_2, \dots, a_m \in E_n$  such that  $N_{a_1}, \dots, N_{a_m}$  cover  $E_n$ . Let

$$g_n = f_{a_1}^2 + \dots + f_{a_m}^2.$$

Then  $g_n \in A(\Psi)$ ,  $g_n = 0$  on  $F$ ,  $g_n \geq 0$  on  $\mathbf{R}^d$ , and  $g_n > 0$  on  $E_n$ . Let

$$M_n = 1 + \max_{|i| \leq n} \sup_{B_n} |\partial^i g_n|.$$

Define

$$g = \sum_{n=1}^{\infty} \frac{g_n}{2^n M_n}.$$

For any given  $m \in \mathbf{N}$  and  $k \in \mathbf{Z}_+$ , we have

$$\sup_{B_m} \left| \partial^i \left( \frac{g_n}{2^n M_n} \right) \right| \leq 2^{-n}, \forall |i| \leq k \quad \forall n \geq m.$$

Thus the series for  $g$  converges in  $C^\infty$  topology, and  $g \in A(\Psi)$ .

Evidently,  $g > 0$  on  $U$  and  $g = 0$  on  $F$ , so we are done.  $\blacksquare$

### 3. Maximal Ideals.

**Proposition 12.** *Suppose  $f \in A(\Psi)$  and  $f(a) \neq 0, \forall a \in \mathbf{R}^d$ . Then  $1/f \in A(\Psi)$ .*

PROOF. Fix  $K$  compact in  $\mathbf{R}^d$  and  $k \in \mathbf{Z}_+$ . It suffices to show that there exist  $g_n \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that

$$\partial^i(g_n \circ \Psi) \rightarrow \partial^i \left( \frac{1}{f} \right), \forall |i| \leq k,$$

uniformly on  $K$ .

We may assume that  $K$  is a ball, without loss in generality.

Choose  $h_n \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that

$$\partial^i(h_n \circ \Psi) \rightarrow \partial^i(f), \forall |i| \leq k,$$

uniformly on  $K$ . Since  $f \neq 0$  on  $K$ , we may assume, without loss in generality, that  $f > 0$  on  $K$  (since  $K$  is connected). Let  $\kappa = \inf_K f$ .

Discarding some initial terms of the sequence (if need be), we may assume that  $h_n > \kappa/2$  on  $\Psi(K)$ , for each  $n$ .

Choose  $k_n \in C^\infty(\mathbf{R}^r, \mathbf{R})$  such that  $k_n = \log h_n$  near  $K$ , and let  $r_n = \exp(-k_n)$ . Then  $g_n = 1/h_n$  on  $\Psi(K)$ , and

$$\partial^i(g_n \circ \Psi) = \partial^i \left( \frac{1}{h_n \circ \Psi} \right) \rightarrow \partial^i \left( \frac{1}{f} \right), \forall |i| \leq k,$$

uniformly on  $K$ , as required. ■

**Theorem 13.** *Let  $M \subset A(\Psi)$ . Then the following are equivalent:*

- (1)  $M$  is a closed maximal ideal in  $A(\Psi)$ .
- (2) There exists  $a \in \mathbf{R}^d$  such that  $M = K(a)$ .

PROOF. It is easy to see that (2) implies (1).

To prove that (1) implies (2), fix a closed maximal ideal  $M$ . We wish to show that  $M$  is the HK-closure of some point. Since (2) implies (1), it suffices to show that  $H(M)$  is nonempty.

Suppose that  $H(M) = \emptyset$ .

For each  $a \in \mathbf{R}^d$ , we may choose  $f_a \in M$  such that  $f_a(a) = 1$ . Using compactness, as in the proof of Proposition 12, we may choose for each  $n \in \mathbf{N}$  a function  $g_n \in M$  such that  $g_n \geq 0$  on  $\mathbf{R}^d$  and  $g_n > 0$  on  $B_n$ . Adding these up with suitable weights, we get  $g \in \text{clos}(M) = M$  such that  $g > 0$  on  $\mathbf{R}^d$ . By Proposition 12,  $1/g \in A(\Psi)$ , so  $1 \in M$ , so  $M = A(\Psi)$ , contradicting the maximality of  $M$ . This contradiction shows that  $H(M) \neq \emptyset$ , and we are done. ■

*Remark.* The argument of this proof actually shows that each ideal having empty hull is dense in  $A(\Psi)$ . From this observation, it is not hard to deduce that the maximal closed ideals are the same as the closed maximal ideals.

*Example.* Let  $\Psi(x) = x, \forall x \in \mathbf{R}$ , so that  $A(\Psi) = C^\infty(\mathbf{R}, \mathbf{R})$ . The subset of all functions having compact support is an proper ideal, and hence is contained in a maximal ideal  $M$ .

Since  $H(M) = \emptyset$ ,  $M$  cannot be closed.

In fact, this  $A(\Psi)$  has many dense maximal ideals, corresponding to some kind of ultrafilters.

Recall that a *character* of a real Fréchet algebra is, by definition, a nonzero algebra homomorphism from the algebra to  $\mathbf{R}$ . There is a bijective correspondence between characters and maximal ideals with quotient isomorphic to  $\mathbf{R}$ . It is known that all characters on real Fréchet algebras are necessarily continuous [3].

**Corollary 14.** *The characters of  $A(\Psi)$  are the evaluations at the points of  $\mathbf{R}^d$ .*

PROOF. Since characters are continuous, the kernel of a character is a closed maximal ideal, hence is  $K(a)$  for some  $a \in \mathbf{R}^d$ . It follows easily that the character is evaluation at  $a$ . ■

Characters belong to  $A(\Psi)^*$ , so the space of characters inherits the weak–star topology.

We may thus rephrase Corollary 5, as follows:

**Theorem 15.** *Let  $E$  and  $F$  be disjoint weak–star closed sets of characters on  $A(\Psi)$ . Then there exists a function  $f \in A(\Psi)$  such that  $\phi(f) = 1$  for all  $\phi \in E$  and  $\phi(f) = 0$  for all  $\phi \in F$ . ■*

This is the *regularity* referred to in the introduction.

#### 4. Localness.

Segal asked in 1949 whether  $A(\Psi)$  has a local description analogous to the Stone–Weierstrass Theorem. Nachbin conjectured that membership of  $f$  in  $A(\Psi)$  is determined by the behaviour of  $f$  on each level set of  $\Psi$ . This conjecture may be reformulated in terms of Taylor series, and some special cases have been proved by Tougeron and the authors. For a more detailed account of the history, see [1, 2]. To date, it has not even been established that membership in  $A(\Psi)$  depends only on the behaviour of  $f$  *near* each level set of  $\Psi$ . This we shall now do.

First, we establish a preliminary fact.

**Lemma 16.** *Let  $E \subset U \subset \mathbf{R}^d$ , where  $E$  is HK-closed and  $U$  is (Euclidean) open. Let  $K$  be compact. Then there exists a HK-open set  $V$  such that  $E \subset V$  and  $K \cap V \subset K \cap U$ .*

PROOF. By Theorem 11, we may choose  $h \in A(\Psi)$  such that  $h = 0$  on  $E$  and  $h > 0$  off  $E$ . Let  $\eta = \inf_{K \setminus U} h$ . Then  $\eta > 0$ . Take  $V = \{x \in \mathbf{R}^d : h(x) < \eta\}$ . Then  $V$  is HK-open, by Corollary 9,  $E \subset V$ , and  $K \cap V \subset K \cap U$ , as required. ■

**Theorem 17.** *Let  $\Psi \in C^\infty(\mathbf{R}^d, \mathbf{R}^r)$  and  $f \in C^\infty(\mathbf{R}^d, \mathbf{R})$ . Then the following four conditions are equivalent:*

- (1)  $f \in A(\Psi)$ ;
- (2)  $\forall a \in \mathbf{R}^d$ , there exists a HK-neighbourhood  $U$  of  $a$  and there exists a function  $g \in A(\Psi)$  such that  $g = f$  on  $U$ .
- (3)  $\forall a \in \mathbf{R}^d$ , there exists a HK-open neighbourhood  $U$  of  $a$  and there exists a sequence of  $g_n \in \mathbf{R}[\Psi]$  such that  $g_n \rightarrow f$  in  $C^\infty(U, \mathbf{R})$  topology.

(4)  $\forall a \in \mathbf{R}^d$ , and for each compact  $K \subset \mathbf{R}^d$ , there exists a (Euclidean) open neighbourhood  $U$  of  $K \cap \text{HK}(a)$  and there exists a sequence of  $g_n \in \mathbf{R}[\Psi]$  such that  $g_n \rightarrow f$  in  $C^\infty(U, \mathbf{R})$  topology.

PROOF. It is evident that (1) implies (2), (2) implies (3), and (3) implies (4).

(4)  $\Rightarrow$  (1): Suppose (4). Fix  $K \subset \mathbf{R}^d$ , compact, and  $k \in \mathbf{Z}_+$ .

For each  $a \in K$ , choose an open neighbourhood  $U_a$  of  $K \cap \text{HK}(a)$  and a sequence  $g_{a,n} \in \mathbf{R}[\Psi]$  such that  $g_{a,n} \rightarrow f$  in  $C^\infty(U_a, \mathbf{R})$  topology.

For each  $a \in K$ , Lemma 16 allows us to choose a HK–open set  $V_a$  such that  $\text{HK}(a) \subset V_a$  and  $K \cap V_a \subset K \cap U_a$ .

By Corollary 5 we may choose  $\rho_a \in A(\Psi)$  such that  $\rho_a > 0$  precisely on  $V_a$  and  $\rho = 1$  on  $\text{HK}(a)$ . Let  $W_a = \{x \in \mathbf{R}^d : \rho_a(x) > 1/2\}$ . Then  $W_a$  is HK–open and  $\text{HK}(a) \subset W_a$ .

By compactness, we may choose  $a_1, \dots, a_m \in K$  such that  $K \subset W_{a_1} \cup \dots \cup W_{a_m}$ . Let us abbreviate  $W_{a_i}$  to  $W_i$ ,  $V_{a_i}$  to  $V_i$ , and  $g_{a_i,n}$  to  $g_{i,n}$ . Choose  $h_i \in A(\Psi)$  such that  $h_i > 0$  precisely on  $W_i$ .

Let  $W = W_1 \cup \dots \cup W_m$ . Since  $W$  is HK–open, we may choose  $h \in A(\Psi)$  such that  $h > 0$  precisely on  $W$ . Since  $K$  is compact, the number  $\eta = \inf_K h$  is strictly positive. Let  $F = \{h \geq \eta/2\}$ . Then  $F$  and  $\mathbf{R}^d \sim W$  are disjoint HK–closed sets, and  $K \subset F$ . Choose  $h_0 \in A(\Psi)$  such that  $h_0 > 0$  precisely on  $\mathbf{R}^d \sim F$ . Then  $s = h_0 + \dots + h_m$  belongs to  $A(\Psi)$  and  $s > 0$  on  $\mathbf{R}^d$ , so  $1/s \in A(\Psi)$ , by Proposition 12. Let  $k_i = h_i/s$ . Then  $k_i \in A(\Psi)$ ,  $k_i \geq 0$ ,  $\sum_0^m k_i = 1$ ,  $k_0 = 0$  near  $K$  and  $\text{spt} k_i \subset W_i$  whenever  $i \geq 1$ .

Fix  $i$ ,  $1 \leq i \leq m$ . Let  $T_i = K \cap \{\rho_i \geq 1/2\}$ . Then  $T_i$  is a compact subset of  $K \cap U_i$ .

Since  $k_i = 0$  on  $K \sim T_i$ , and  $g_{i,n} \rightarrow f$  in  $C^\infty(U_i, \mathbf{R})$ , we see that

$$\partial^i(k_i \cdot g_{i,n} - k_i \cdot f) \rightarrow 0$$

uniformly on  $K$ , for each  $|i| \leq k$ , as  $n \uparrow \infty$ . Since  $k_0 = 0$  near  $K$ , we conclude that the function  $r_n = \sum_1^m k_i g_{i,n}$ , which belongs to  $A(\Psi)$ , converges uniformly, along with all derivatives up to order  $k$ , uniformly on  $K$ , to  $\sum_1^m k_i f$ , which equals  $f$  on  $K$ . This suffices to show that (1) holds. ■

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