

## A Study of Sequence Distribution of a Painted Globule as a Model for Proteins with Good Folding Properties<sup>(\*)</sup>

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**Summary.** — In this paper we present a method to study the folding structure of a simple model consisting of two kinds of monomers, hydrophobic and hydrophilic. This method has three main steps: an efficient simulation method to bring an open sequence of homopolymer to a folded state, the application of a painting method called **regular hull** to the folded globule and the refolding process of the obtained copolymer sequence. This study allows us to suggest a theoretical function of disorder distribution for copolymer sequences that give rise to a compacted and well micro-phase separated globule.

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### 1. – Introduction

Proteins are made up of elementary building blocks - 20 different amino acids. Once synthesized, the protein chain folds into a unique 3-dimensional shape, determined solely by the amino acid primary structure. The equilibrium folding is a free energy minimization process that depends on interactions among amino acids. Once folded, a protein is usually a compact globule. The compactness of the globule is maintained by the hydrophobic effect, so that the hydrophobic units are mainly located inside the globule and the hydrophilic ones on the surface. These hydrophilic units screen the hydrophobic

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units thereby preventing aggregation in solution. Although this phase separation feature is well understood, biologists can neither accurately predict the folded protein shape for a given primary sequence, nor which sequences will fold and be stable, rather than aggregate.

In this paper we present a method to study the folding properties of a model of proteins containing only two kinds of amino acids; hydrophobic and hydrophilic. This model is referred to as the AB-model. The idea is to try to find the distribution of disorder for copolymer sequences that give rise to a compact globule expressed as hydrophobic core and hydrophilic exterior.

The study rests on two complementary methods, the painting method and artificial neural networks (ANN) method. The painting method is applied to quite short sequences and the ANN method to long sequences. The first is necessary to train the ANN. In other words the ANN needs the training process (which can be done by the painting method) to study longer sequences which cannot be characterized by the painting method. At first we only consider short sequences to prove the validity of the painting method and the applicability of windowing technique of ANN to the problem of polymer collapse.

The paper is organized as follows: The next section describes the model to study the goodness of a sequence for the purposes of folding. The description of the method of simulations used to collapse a homopolymer is given in section 3. The painting method applied to a collapsed homopolymer globule is presented in section 4. Section 5 describes a study of the distribution of disorder in sequences of a generic model of protein expressed in terms of hydrophobic and hydrophilic units. This is done by calculating the correlation function of monomers along the chain. The choice of using the ANN method is also discussed in the same section. We conclude in section 6.

## 2. – The Model

The model presented here rests mainly on the principle that when a protein folds it turns into a globule, so that predominantly the hydrophobic units constitute the core of the globule and the hydrophilic units the surface. We therefore wish to create an ensemble of condensed chains all of which possess a hydrophobic core and hydrophilic exterior with fixed sizes. Each chain sequence of this ensemble is then known to possess at least one acceptable folded state. To produce the painting structure of hydrophobic-hydrophilic monomers we proceed in the following manner:

1. Consider an open sequence of a homopolymer of a fixed size,
2. We perform numerical simulations based on the method described in the following section to bring the system to its folded state.
3. The globule shape depends on the position of each monomer in the sequence and the interactions between them. This globule is not always compact. Testing the compactness of the globule becomes necessary as only the spherical globules are considered.
4. The core of the globule is painted. The volume of the colored core is defined by the hydrophobicity ratio along the chain. The painting technique is described in section 4.
5. We can now ask if all the sequences, each of which has, a good folded state, can be refolded from an open conformation to that state. Thus, the obtained colored

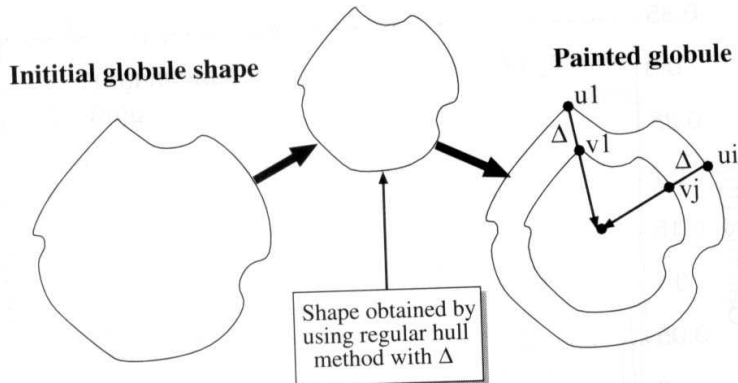


Fig. 1. – Regular Hull painting method.

sequences are considered as an AB-model consisting of two kinds of monomers A and B. The Monte Carlo simulation method is again used for copolymer sequences to discriminate the good sequences from the bad ones. A sequence is considered to be good if it refolds efficiently and bad otherwise. The colored sequences are also used to train the ANN.

The primary concern in this paper is to identify any implied hydrophilic-hydrophobic correlations created by having a hydrophobic core structure. Thus, we study the distribution of the AB-model. A sequence of monomers of length  $N$  can be described by the binary variables  $\lambda_1, \dots, \lambda_N$ . Without loss of generality we consider  $\lambda_i = +1$  for hydrophilic and  $-1$  for hydrophobic.  $\Lambda$  is a random variable and its probability distribution function can be deduced from the averages  $M_k$  defined over the set  $\{\lambda_{m_1}, \dots, \lambda_{m_k}\}$ . The random variables  $\lambda_1, \dots, \lambda_N$  are mutually independent. The cumulant  $M_2$  is given by

$$(1) \quad M_2(m_1, m_2) = \gamma_{m_1 m_2} = \langle \lambda_{m_1} \lambda_{m_2} \rangle - \langle \lambda_{m_1} \rangle \langle \lambda_{m_2} \rangle$$

### 3. – Method of the Simulation

There are two approaches commonly used for computer simulations of polymer systems. One can proceed by straightforward numerical integration of, for example, the Langevin equation [4], or Newton's equation in the molecular dynamics method. Alternatively, one can apply the method of Monte Carlo simulation [1, 2, 3]. The complete description of the model and simulation methods is given in [5] based on the package `many_cop` developed by Yu.A. Kuznetsov.

There are two obvious restrictions on the set of all possible updates or moves of the system. Namely, we must ensure polymer connectivity, and excluded volume. In a continuous-space model one requires a calculation of all forces to ensure that excluded volume is preserved, and there is an inner "space" loop in the Monte Carlo code. This can be avoided in a model with a finite-size discrete space, since a look-up table is used to manage this procedure. The dynamics can be performed by permutations of monomer and solvent beads on the lattice. We call such a permutation an elementary move.

We consider a model of a copolymer consisting of only two different monomer types distributed in a certain way along the chain. The total number of each monomer type is held fixed for every configuration in the ensemble. The chain structure does not change under time evolution.

We work on a three-dimensional lattice with unit spacing. We restrict our model by making the following particular choices of elementary moves. The maximum distance between the nearest neighbors along the chain (NNC) is equal to  $r_{max} = \sqrt{3}$ . Thus, for every bead the NNC are located in the nearest lattice sites along the vertices of the lattice, or on second or third lattice neighbors. This condition provides for connectivity of the chain. Furthermore, excluded volume is incorporated by ensuring that only NNC are permitted in the nearest neighbor lattice sites, i. e. the minimum distance between beads is  $r_{min} = 1$  for NNC beads (NNC cannot overlap), and  $r_{min} = \sqrt{2}$  otherwise.

The model discussed above is described by the Hamiltonian,

$$(2) \quad H = \frac{1}{2} \sum_{i \neq j} w(r_{ij}) \mathcal{I}_{s_i s_j},$$

where  $i, j$  enumerate lattice sites;  $s_i$  labels the state of site  $i$ ,  $\mathcal{I}_{s_i s_j}$  is a 3x3 symmetric matrix and the matrix indices  $s_i$  take three different values, solvent  $s$  and monomer types denoted as  $a$  and  $b$ . Here we denote  $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$ . For short-range interactions we take the weight function  $w(r) = 0$ , for  $r > R_{max}$ , where  $R_{max}$  is some range of interaction. As in Ref. [5] we choose  $w(1) = 1$ ,  $w(\sqrt{2}) = 1$ ,  $w(\sqrt{3}) = 0.7$ ,  $w(2) = 1/2$  and  $w(r) = 0$  for  $r > 2$ . Thus, the range of interaction includes the nearest and second-nearest neighbors. We have used the Metropolis algorithm [1, 2, 3] for calculation of the transition probability in a system at temperature  $T$ .

Copolymers can be described by three independent Flory parameters:

$$(3) \quad \begin{aligned} \chi_{aa} &= \frac{2\mathcal{I}_{sa} - \mathcal{I}_{aa} - \mathcal{I}_{ss}}{k_B T}, \\ \chi_{bb} &= \frac{2\mathcal{I}_{sb} - \mathcal{I}_{bb} - \mathcal{I}_{ss}}{k_B T}, \\ \chi_{ab} &= \frac{\mathcal{I}_{sa} + \mathcal{I}_{sb} - \mathcal{I}_{ab} - \mathcal{I}_{ss}}{k_B T}. \end{aligned}$$

In fact, we shall consider only a special cut of parameter space with the condition,  $\mathcal{I}_{aa} + \mathcal{I}_{bb} = 2\mathcal{I}_{ab}$ . We can therefore reduce the number of parameters to two via the relation,  $\chi_{ab} = (\chi_{aa} + \chi_{bb})/2$ . We further restrict our model by assuming that the  $a$ -monomers are hydrophilic,  $\chi_{aa} = 0$ .

#### 4. – Method of Painting

The painting method was used to identify the two types of monomer - hydrophobic and hydrophilic. For a given hydrophobicity percentage the method consists in coloring the interior of the globule with a radius corresponding to the hydrophobicity ratio  $\tau_b$ . For this we consider that the globule is spherical with radius  $(R_g \pm \delta_r)$  with  $\delta_r < \epsilon_r$ , where  $\epsilon_r$  is the maximum value, and is called *parameter of compactness*.

There are different ways to implement the painting procedure, depending on the constraints to be satisfied. For example, if the globule is considered a sphere, the easiest way is to mark hydrophobic all the monomers in a fixed radius  $R_b$  which corresponds

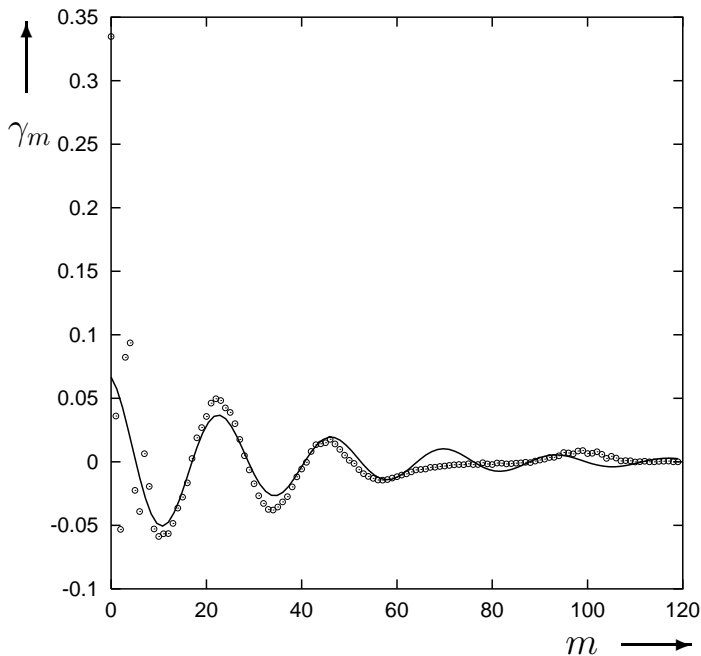


Fig. 2. – Correlation function  $\gamma_m = \frac{1}{N-m} \sum_n \gamma_{n,n+m}$  for  $\tau_b = 10\%$  and sequence length  $N = 120$  (circles). The theoretical  $g(m)$  plot (solid curve) corresponds to  $A = 0.07$ ,  $\xi = 37 \pm 5$ ,  $d = 23.6 \pm 0.4$  and  $\phi = 90$ .

to the given amount of hydrophobicity.  $R_b$  is called the hydrophobic radius and the difference ( $R_g - R_b$ ) is the depth of painting.

Another method consists in bringing the centre of mass of the globule to the origin of a 3-D Cartesian grid. Calculate the coordinates of the hydrophobic radius along the 3 axes (x, y, z). This method is quicker than the first; marking process consists of a simple coordinate test for each unit compared to those in the radius of hydrophobicity.

The advantage of these two methods is their simple implementation. However the constraint imposed on the form of the globule is very restrictive, especially for short sequences, since: 1) a collapsed globule is never a perfect sphere and 2) the coordinates of monomers in the lattice are integers. These approximations cause anomalies in the final form of the globule.

The method of painting we have chosen involves the **regular hull**. It consists of defining an internal volume of the same form as that of the globule. The method proceeds in two phases: 1) determining the units which constitute the surface of the globule  $\Gamma_g = \{u_0, u_1, \dots, u_n\}$ . 2) For each  $u_i \in \Gamma_g$  its distance is calculated from the centre of mass of the globule  $R_{u_i}$ . The depth of painting  $\Delta$  is fixed, the distance separating a unit  $v_j$  of a contour in the internal volume  $\Gamma_p = \{v_0, v_1, \dots, v_m\}$  from the centre of mass is given by the equation  $\Delta = R_{u_i} - R_{v_j}$ , so the line  $[u_i, v_j]$  passes through the centre of mass. The hydrophobic units are therefore delimited by  $\Gamma_p$ . Figure 1 illustrates this

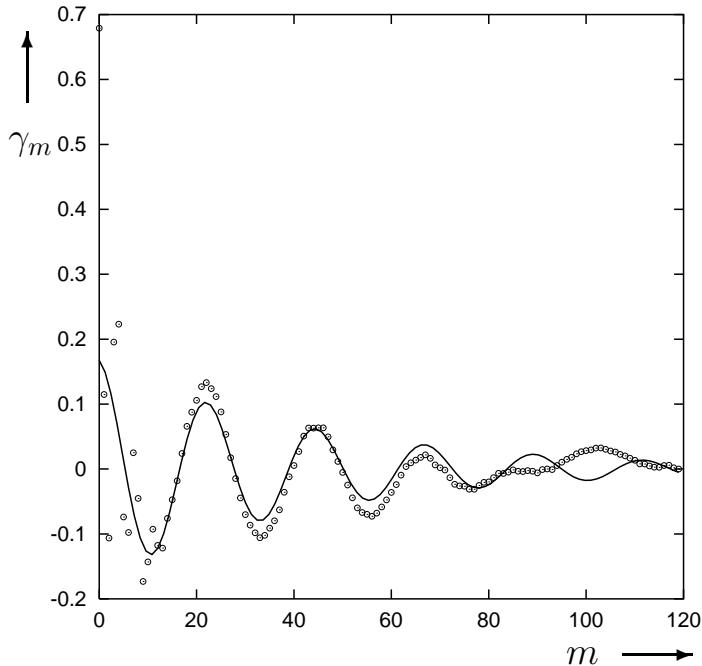


Fig. 3. – Correlation function for  $\tau_b = 25\%$  and sequence length  $N = 120$ . The  $g(m)$  plot corresponds to  $A = 0.17$ ,  $\xi = 45 \pm 6$ ,  $d = 22.4 \pm 0.3$  and  $\phi = 90$ .

procedure. Note that as we are working on a lattice, the unit  $u_i \in \Gamma_g$  corresponding to  $v_j$  is chosen in such a way so as to guarantee the painting depth distance.

## 5. – Simulations

We performed Monte Carlo simulations of systems without reptation for both folding and refolding processes. The simulations were run on workstations (DEC Alpha 3100 and SGI R10000). The method has two important steps which are very time consuming - Monte Carlo simulations and neural network windowing method. For each Monte Carlo simulation run more than  $N^2$  sweeps were carried out, which requires approximately  $N^2$  seconds on the underlined machines. For  $N = 120$ , 4 hours CPU time required to bring the initial sequence to folding state. Due to the enormous amount of time needed to simulate sequences of different size, we only ran simulations for  $N = 120, 250$ , and 400.

For each figure, we plotted both the simulation results and a theoretical function that approximates these results. This function is given by

$$(4) \quad g(m) = A \times \exp\left(\frac{-m}{\xi}\right) \sin\left(\frac{2\pi m}{d} + \phi\right)$$

where  $A$ ,  $\xi$ ,  $d$ , and  $\phi$  are fitting parameters. Here  $A$  is the (uninteresting) normalisation constant,  $\xi$  is the correlation length,  $d$  is the period of periodicity and  $\phi$  is a phase

shift.

Figures 2,3,4 and 5 plot the correlation function obtained by using more than 2,500 different sequences of length 120 chosen randomly from an ensemble of 25,000. The only difference between these 4 experiments is the hydrophobicity ratio. We distinguish three different regions in each graph. 1) initial region: the results are affected by two phenomena - the discretisation of the simulation space onto a lattice and the effect of painting which will be considered later. 2) the central region: results are stable and coincide with equation 4. 3) Final region: this is characterized by a lack of correlations. Since the chains are open the ends tend to be more hydrophilic, clearly shown in figure 5.

**5.1. *The Effect of Painting.*** – The oscillations and their amplitudes are controlled by the parameters  $\xi$  and  $A$  respectively, and  $d$  controls the period. These three parameters depend on the hydrophobicity ratio  $\tau_b$ , and the sequence length  $N$ . We have determined the function corresponding to each parameter, as well as their physical relation to the painting method applied to folded sequences. In what follows we analyze the results of the painting method and demonstrate its limitations.

In a statistically significant way, it was shown that the amino acid sequences in proteins differ from what is expected from random sequences. The results of this study based upon real protein sequences in the SWISS-PROT data base can be found in [7, 8]. Our study confirm the non-random distribution of hydrophobic-hydrophilic monomers along the chain, and indicate that part of that correlation may be due to an implied geometry of the condensed globule.

It is well known that the folding process brings residues geometrically close together which are also close along the chain. These residues are classified as domains. The current painting method does not take domains into account. Quite interesting results for the monomer structure of folded sequences are obtained applying the method to short sequences with an appropriate hydrophobicity ratio (Figure 3). In the case shown in figure 3 the domain structure does not get overwhelmed by the large globule volumes.

When the domain structure is very small with respect to the globule volume only those monomers at the border of the two volumes (global and hydrophobic) are considered (see Figure 6). This border is negligible compared to the rest of the globule. It is vital to take the domain structure into account to extend the painting method beyond the globule core.

**5.2. *Improvement.*** – To overcome the limitations of the preceding method we must therefore take the domain structure into account. We proceed as follows:

1. let  $\tau$  and  $N$  be the hydrophobicity ratio and the sequence length respectively.
2. choose a point  $P_0$  belonging to the globule such that the distance from  $P_0$  to the surface of the globule is bigger than  $\tau$ .
3. mark the monomers belonging to the volume of radius  $\tau$  and centre  $P_0$ , and derive the characteristics of the folding structure of the monomers (using the correlation function).
4. repeat steps 2 and 3 with a new point until all possible points have been chosen.
5. calculate the total correlation function from the preceding ones.

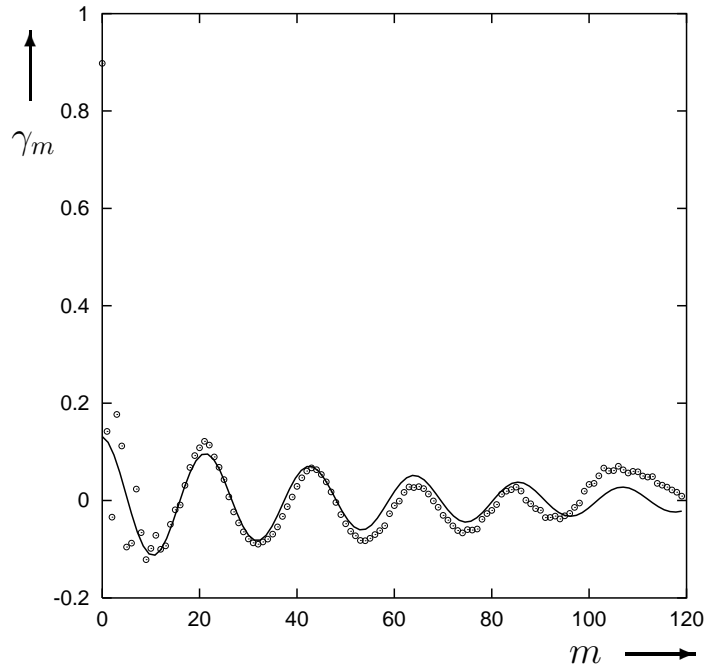


Fig. 4. – Correlation function for  $\tau_b = 50\%$  and sequence length  $N = 120$ . The  $g(m)$  plot corresponds to  $A = 0.13$ ,  $\xi = 69 \pm 11$ ,  $d = 21.4 \pm 0.2$  and  $\phi = 90$ .



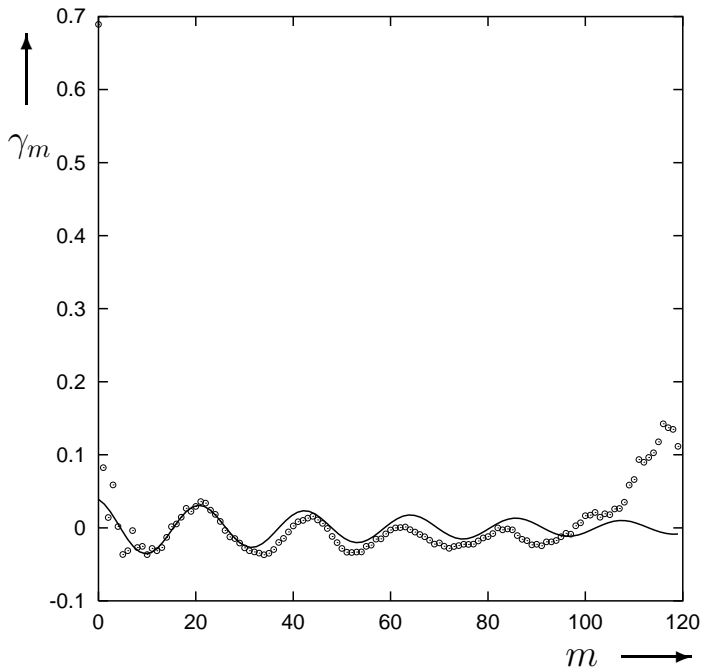


Fig. 5. – Correlation function for  $\tau_b = 75\%$  and sequence length  $N = 120$ . The  $g(m)$  plot corresponds to  $A = 0.04$ ,  $\xi = 77 \pm 25$ ,  $d = 21.7 \pm 0.3$  and  $\phi = 90$ . Note that in this figure the quality of fitting is the worst due to the deep level of painting.

This new technique allows the analysis of the monomer folding structure for each domain structure in the whole globule. However it is very time consuming, since all points which satisfy the hydrophobicity radius  $\tau$  must be examined. The method uses the same exploration procedure as the neural network windowing method.

**5.3. Neural Network.** – Artificial neural networks are usually used to find an approximate solution to a precisely (or an imprecisely) formulated problem. ANNs are characterized by the network topology, the connection weight between pairs of nodes, node properties, and the definition of updating rules. Usually, an objective function is defined that represents the complete state of the network, and its set of minima correspond to different stable states of the network. Learning in an ANN, whether supervised or unsupervised, is accomplished by adjusting the weights between connections in response to new inputs or training patterns.

The advantage of the neural network approach is that it allows us to generalize our predictions about the compactness of folded proteins beyond the sequences used to train the network. The results of the neural network method are fully described in [6].

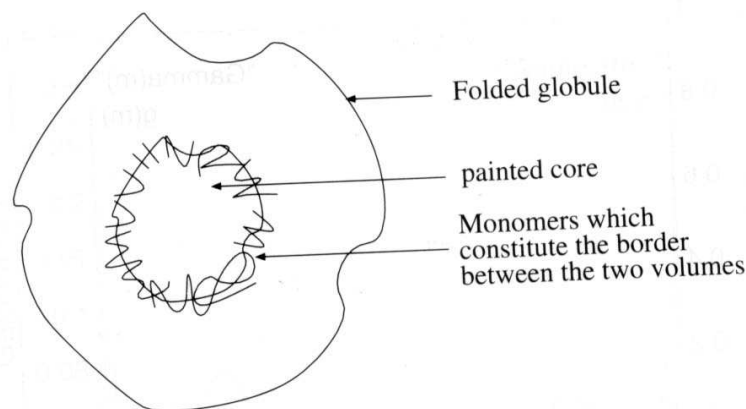


Fig. 6. – This figure shows the monomers belonging to the border between the two volumes. This border becomes negligible as the length of the chain increase.

## 6. – Conclusion

In this paper a method to create geometrical objects with protein-like structure and thereby generate sequences is suggested. This technique used two complementary methods - painting method and neural network windowing method. The first one is suitable to the short sequences. As opposed to the painting method the ANN windowing method has non-local effect in the folding process (length of sequences, etc.). However, a learning process is needed in order to predict the goodness of folded structure for any sequence length.

We deduce that there are implied chain sequence correlations indicating a sort of block-like structure to the hydrophobic-hydrophilic structure. We see from the fitting of the experimental function  $\gamma_m$  by the theoretical one  $g(m)$  that increasing the depth of painting increases the correlation length  $\xi$ , while it has practically no effect on the periodicity  $d$  or phase  $\phi$ . The periodicity  $d$  is believed to be related to the size of the globule while the phase  $\phi$  to the actual procedure involved and thus both are independent of the painting depth.

The method presented here is very time consuming especially the Monte Carlo simulation and neural network steps. The performance of these methods can be improved by using high performance and efficient parallelization techniques.

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